

AMENDMENT NO. _____ Calendar No. _____

Purpose: In the nature of a substitute.

IN THE SENATE OF THE UNITED STATES—111th Cong., 1st Sess.

S. 510

To amend the Federal Food, Drug, and Cosmetic Act with respect to the safety of the food supply.

Referred to the Committee on _____ and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT IN THE NATURE OF A SUBSTITUTE intended to be proposed by _____

Viz:

1 Strike all after the enacting clause and insert the fol-

2 lowing:

3 **SECTION 1. SHORT TITLE; REFERENCES; TABLE OF CON-**

4 **TENTS.**

5 (a) **SHORT TITLE.**—This Act may be cited as the

6 “FDA Food Safety Modernization Act”.

7 (b) **REFERENCES.**—Except as otherwise specified,

8 whenever in this Act an amendment is expressed in terms

9 of an amendment to a section or other provision, the ref-

10 erence shall be considered to be made to a section or other

1 provision of the Federal Food, Drug, and Cosmetic Act
2 (21 U.S.C. 301 et seq.).

3 (c) TABLE OF CONTENTS.—The table of contents for
4 this Act is as follows:

Sec. 1. Short title; references; table of contents.

TITLE I—IMPROVING CAPACITY TO PREVENT FOOD SAFETY
PROBLEMS

- Sec. 101. Inspections of records.
- Sec. 102. Registration of food facilities.
- Sec. 103. Hazard analysis and risk-based preventive controls.
- Sec. 104. Performance standards.
- Sec. 105. Standards for produce safety.
- Sec. 106. Protection against intentional adulteration.
- Sec. 107. Authority to collect fees.
- Sec. 108. National agriculture and food defense strategy.
- Sec. 109. Food and Agriculture Coordinating Councils.
- Sec. 110. Building domestic capacity.
- Sec. 111. Sanitary transportation of food.
- Sec. 112. Food allergy and anaphylaxis management.

TITLE II—IMPROVING CAPACITY TO DETECT AND RESPOND TO
FOOD SAFETY PROBLEMS

- Sec. 201. Targeting of inspection resources for domestic facilities, foreign facilities, and ports of entry; annual report.
- Sec. 202. Recognition of laboratory accreditation for analyses of foods.
- Sec. 203. Integrated consortium of laboratory networks.
- Sec. 204. Enhancing traceback and recordkeeping.
- Sec. 205. Pilot project to enhance traceback and recordkeeping with respect to processed food.
- Sec. 206. Surveillance.
- Sec. 207. Mandatory recall authority.
- Sec. 208. Administrative detention of food.
- Sec. 209. Decontamination and disposal standards and plans.
- Sec. 210. Improving the training of State, local, territorial, and tribal food safety officials.
- Sec. 211. Grants to enhance food safety.

TITLE III—IMPROVING THE SAFETY OF IMPORTED FOOD

- Sec. 301. Foreign supplier verification program.
- Sec. 302. Voluntary qualified importer program.
- Sec. 303. Authority to require import certifications for food.
- Sec. 304. Prior notice of imported food shipments.
- Sec. 305. Review of a regulatory authority of a foreign country.
- Sec. 306. Building capacity of foreign governments with respect to food.
- Sec. 307. Inspection of foreign food facilities.
- Sec. 308. Accreditation of third-party auditors and audit agents.
- Sec. 309. Foreign offices of the Food and Drug Administration.
- Sec. 310. Smuggled food.

TITLE IV—MISCELLANEOUS PROVISIONS

Sec. 401. Funding for food safety.

Sec. 402. Whistleblower protections.

Sec. 403. Jurisdiction; authorities.

Sec. 404. Compliance with international agreements.

1 **TITLE I—IMPROVING CAPACITY**
2 **TO PREVENT FOOD SAFETY**
3 **PROBLEMS**

4 **SEC. 101. INSPECTIONS OF RECORDS.**

5 (a) IN GENERAL.—Section 414(a) (21 U.S.C.
6 350e(a)) is amended—

7 (1) by striking the heading and all that follows
8 through “of food is” and inserting the following:
9 “RECORDS INSPECTION.—

10 “(1) ADULTERATED FOOD.—If the Secretary
11 has a reasonable belief that an article of food, and
12 any other article of food that the Secretary reason-
13 ably believes is likely to be affected in a similar man-
14 ner, is”;

15 (2) by inserting “, and to any other article of
16 food that the Secretary reasonably believes is likely
17 to be affected in a similar manner,” after “relating
18 to such article”;

19 (3) by striking the last sentence; and

20 (4) by inserting at the end the following:

21 “(2) USE OF OR EXPOSURE TO FOOD OF CON-
22 CERN.—If the Secretary believes that there is a rea-

1 sonable probability that the use of or exposure to an
2 article of food, and any other article of food that the
3 Secretary reasonably believes is likely to be affected
4 in a similar manner, will cause serious adverse
5 health consequences or death to humans or animals,
6 each person (excluding farms and restaurants) who
7 manufactures, processes, packs, distributes, receives,
8 holds, or imports such article shall, at the request of
9 an officer or employee duly designated by the Sec-
10 retary, permit such officer or employee, upon presen-
11 tation of appropriate credentials and a written notice
12 to such person, at reasonable times and within rea-
13 sonable limits and in a reasonable manner, to have
14 access to and copy all records relating to such article
15 and to any other article of food that the Secretary
16 reasonably believes is likely to be affected in a simi-
17 lar manner, that are needed to assist the Secretary
18 in determining whether there is a reasonable prob-
19 ability that the use of or exposure to the food will
20 cause serious adverse health consequences or death
21 to humans or animals.

22 “(3) APPLICATION.—The requirement under
23 paragraphs (1) and (2) applies to all records relating
24 to the manufacture, processing, packing, distribu-
25 tion, receipt, holding, or importation of such article

1 maintained by or on behalf of such person in any
2 format (including paper and electronic formats) and
3 at any location.”.

4 (b) CONFORMING AMENDMENT.—Section
5 704(a)(1)(B) (21 U.S.C. 374(a)(1)(B)) is amended by
6 striking “section 414 when” and all that follows through
7 “subject to” and inserting “section 414, when the stand-
8 ard for records inspection under paragraph (1) or (2) of
9 section 414(a) applies, subject to”.

10 **SEC. 102. REGISTRATION OF FOOD FACILITIES.**

11 (a) UPDATING OF FOOD CATEGORY REGULATIONS;
12 BIENNIAL REGISTRATION RENEWAL.—Section 415(a) (21
13 U.S.C. 350d(a)) is amended—

14 (1) in paragraph (2), by—

15 (A) striking “conducts business and” and
16 inserting “conducts business, the e-mail address
17 for the contact person of the facility or, in the
18 case of a foreign facility, the United States
19 agent for the facility, and”;

20 (B) inserting “, or any other food cat-
21 egories as determined appropriate by the Sec-
22 retary, including by guidance” after “Code of
23 Federal Regulations”;

24 (2) by redesignating paragraphs (3) and (4) as
25 paragraphs (4) and (5), respectively; and

1 (3) by inserting after paragraph (2) the fol-
2 lowing:

3 “(3) BIENNIAL REGISTRATION RENEWAL.—
4 During the period beginning on October 1 and end-
5 ing on December 31 of each even-numbered year, a
6 registrant that has submitted a registration under
7 paragraph (1) shall submit to the Secretary a re-
8 newal registration containing the information de-
9 scribed in paragraph (2). The Secretary shall pro-
10 vide for an abbreviated registration renewal process
11 for any registrant that has not had any changes to
12 such information since the registrant submitted the
13 preceding registration or registration renewal for the
14 facility involved.”.

15 (b) SUSPENSION OF REGISTRATION.—

16 (1) IN GENERAL.—Section 415 (21 U.S.C.
17 350d) is amended—

18 (A) in subsection (a)(2), by inserting after
19 the first sentence the following: “The registra-
20 tion shall contain an assurance that the Sec-
21 retary will be permitted to inspect such facility
22 at the times and in the manner permitted by
23 this Act.”;

24 (B) by redesignating subsections (b) and
25 (c) as subsections (c) and (d), respectively; and

1 (C) by inserting after subsection (a) the
2 following:

3 “(b) SUSPENSION OF REGISTRATION.—

4 “(1) IN GENERAL.—If the Secretary determines
5 that food manufactured, processed, packed, or held
6 by a facility registered under this section has a rea-
7 sonable probability of causing serious adverse health
8 consequences or death to humans or animals, the
9 Secretary may by order suspend the registration of
10 the facility under this section in accordance with this
11 subsection.

12 “(2) HEARING ON SUSPENSION.—The Secretary
13 shall provide the registrant subject to an order
14 under paragraph (1) with an opportunity for an in-
15 formal hearing, to be held as soon as possible but
16 not later than 2 business days after the issuance of
17 the order or such other time period, as agreed upon
18 by the Secretary and the registrant, on the actions
19 required for reinstatement of registration and why
20 the registration that is subject to suspension should
21 be reinstated. The Secretary shall reinstate a reg-
22 istration if the Secretary determines, based on evi-
23 dence presented, that adequate grounds do not exist
24 to continue the suspension of the registration.

1 “(3) POST-HEARING CORRECTIVE ACTION PLAN;
2 VACATING OF ORDER.—

3 “(A) CORRECTIVE ACTION PLAN.—If, after
4 providing opportunity for an informal hearing
5 under paragraph (2), the Secretary determines
6 that the suspension of registration remains nec-
7 essary, the Secretary shall require the reg-
8 istrant to submit a corrective action plan to
9 demonstrate how the registrant plans to correct
10 the conditions found by the Secretary. The Sec-
11 retary shall review such plan in a timely man-
12 ner.

13 “(B) VACATING OF ORDER.—Upon a de-
14 termination by the Secretary that adequate
15 grounds do not exist to continue the suspension
16 actions required by the order, or that such ac-
17 tions should be modified, the Secretary shall va-
18 cate the order or modify the order.

19 “(4) EFFECT OF SUSPENSION.—If the registra-
20 tion of a facility is suspended under this subsection,
21 such facility shall not import food or offer to import
22 food into the United States, or otherwise introduce
23 food into interstate or intrastate commerce in the
24 United States.

1 “(5) REGULATIONS.—The Secretary shall pro-
2 mulgate regulations that describe the standards the
3 Commissioner will use in making a determination to
4 suspend a registration, and the format the Commis-
5 sioner will use to explain to the registrant the condi-
6 tions found at the facility. The Secretary may pro-
7 mulgate such regulations on an interim final basis.

8 “(6) APPLICATION DATE.—Facilities shall be
9 subject to the requirements of this subsection begin-
10 ning on the earlier of—

11 “(A) the date on which the Secretary
12 issues regulations under paragraph (5); or

13 “(B) 180 days after the date of enactment
14 of the FDA Food Safety Modernization Act.

15 “(7) NO DELEGATION.—The authority con-
16 ferred by this subsection to issue an order to sus-
17 pend a registration or vacate an order of suspension
18 shall not be delegated to any officer or employee
19 other than the Commissioner.”.

20 (2) IMPORTED FOOD.—Section 801(l) (21
21 U.S.C. 381(l)) is amended by inserting “(or for
22 which a registration has been suspended under such
23 section)” after “section 415”.

24 (c) CONFORMING AMENDMENTS.—

1 (1) Section 301(d) (21 U.S.C. 331(d)) is
2 amended by inserting “415,” after “404,”.

3 (2) Section 415(d), as redesignated by sub-
4 section (b), is amended by adding at the end before
5 the period “for a facility to be registered, except
6 with respect to the reinstatement of a registration
7 that is suspended under subsection (b)”.

8 **SEC. 103. HAZARD ANALYSIS AND RISK-BASED PREVENTIVE**
9 **CONTROLS.**

10 (a) IN GENERAL.—Chapter IV (21 U.S.C. 341 et
11 seq.) is amended by adding at the end the following:

12 **“SEC. 418. HAZARD ANALYSIS AND RISK-BASED PREVEN-**
13 **TIVE CONTROLS.**

14 “(a) IN GENERAL.—The owner, operator, or agent
15 in charge of a facility shall, in accordance with this sec-
16 tion, evaluate the hazards that could affect food manufac-
17 tured, processed, packed, or held by such facility, identify
18 and implement preventive controls to significantly mini-
19 mize or prevent the occurrence of such hazards and pro-
20 vide assurances that such food is not adulterated under
21 section 402 or misbranded under section 403(w), monitor
22 the performance of those controls, and maintain records
23 of this monitoring as a matter of routine practice.

24 “(b) HAZARD ANALYSIS.—The owner, operator, or
25 agent in charge of a facility shall—

1 “(1) identify and evaluate known or reasonably
2 foreseeable hazards that may be associated with the
3 facility, including—

4 “(A) biological, chemical, physical, and ra-
5 diological hazards, natural toxins, pesticides,
6 drug residues, decomposition, parasites, aller-
7 gens, and unapproved food and color additives;
8 and

9 “(B) hazards that occur naturally, may be
10 unintentionally introduced, or may be inten-
11 tionally introduced, including by acts of ter-
12 rorism; and

13 “(2) develop a written analysis of the hazards.

14 “(c) PREVENTIVE CONTROLS.—The owner, operator,
15 or agent in charge of a facility shall identify and imple-
16 ment preventive controls, including at critical control
17 points, if any, to provide assurances that—

18 “(1) hazards identified in the hazard analysis
19 conducted under subsection (b) will be significantly
20 minimized or prevented; and

21 “(2) the food manufactured, processed, packed,
22 or held by such facility will not be adulterated under
23 section 402 or misbranded under section 403(w).

24 “(d) MONITORING OF EFFECTIVENESS.—The owner,
25 operator, or agent in charge of a facility shall monitor the

1 effectiveness of the preventive controls implemented under
2 subsection (c) to provide assurances that the outcomes de-
3 scribed in subsection (c) shall be achieved.

4 “(e) CORRECTIVE ACTIONS.—The owner, operator,
5 or agent in charge of a facility shall establish procedures
6 that a facility will implement if the preventive controls im-
7 plemented under subsection (c) are found to be ineffective
8 through monitoring under subsection (d).

9 “(f) VERIFICATION.—The owner, operator, or agent
10 in charge of a facility shall verify that—

11 “(1) the preventive controls implemented under
12 subsection (c) are adequate to control the hazards
13 identified under subsection (b);

14 “(2) the owner, operator, or agent is conducting
15 monitoring in accordance with subsection (d);

16 “(3) the owner, operator, or agent is making
17 appropriate decisions about corrective actions taken
18 under subsection (e);

19 “(4) the preventive controls implemented under
20 subsection (c) are effectively and significantly mini-
21 mizing or preventing the occurrence of identified
22 hazards, including through the use of environmental
23 and product testing programs and other appropriate
24 means; and

1 “(5) there is documented, periodic reanalysis of
2 the plan under subsection (i) to ensure that the plan
3 is still relevant to the raw materials, conditions and
4 processes in the facility, and new and emerging
5 threats.

6 “(g) RECORDKEEPING.—The owner, operator, or
7 agent in charge of a facility shall maintain, for not less
8 than 2 years, records documenting the monitoring of the
9 preventive controls implemented under subsection (c), in-
10 stances of nonconformance material to food safety, the re-
11 sults of testing and other appropriate means of verification
12 under subsection (f)(4), instances when corrective actions
13 were implemented, and the efficacy of preventive controls
14 and corrective actions.

15 “(h) WRITTEN PLAN AND DOCUMENTATION.—The
16 owner, operator, or agent in charge of a facility shall pre-
17 pare a written plan that documents and describes the pro-
18 cedures used by the facility to comply with the require-
19 ments of this section, including analyzing the hazards
20 under subsection (b) and identifying the preventive con-
21 trols adopted under subsection (c) to address those haz-
22 ards. Such written plan, together with the documentation
23 described in subsection (g), shall be made promptly avail-
24 able to a duly authorized representative of the Secretary
25 upon oral or written request.

1 “(i) REQUIREMENT TO REANALYZE.—The owner,
2 operator, or agent in charge of a facility shall conduct a
3 reanalysis under subsection (b) whenever a significant
4 change is made in the activities conducted at a facility
5 operated by such owner, operator, or agent if the change
6 creates a reasonable potential for a new hazard or a sig-
7 nificant increase in a previously identified hazard or not
8 less frequently than once every 3 years, whichever is ear-
9 lier. Such reanalysis shall be completed and additional pre-
10 ventive controls needed to address the hazard identified,
11 if any, shall be implemented before the change in activities
12 at the facility is operative. Such owner, operator, or agent
13 shall revise the written plan required under subsection (h)
14 if such a significant change is made or document the basis
15 for the conclusion that no additional or revised preventive
16 controls are needed. The Secretary may require a reanaly-
17 sis under this section to respond to new hazards and devel-
18 opments in scientific understanding.

19 “(j) DEEMED COMPLIANCE OF SEAFOOD, JUICE,
20 AND LOW-ACID CANNED FOOD FACILITIES SUBJECT TO
21 HACCP.—The owner, operator, or agent in charge of a
22 facility required to comply with 1 of the following stand-
23 ards and regulations with respect to such facility shall be
24 deemed to be in compliance with this section, with respect
25 to such facility:

1 “(1) The Seafood Hazard Analysis Critical
2 Control Points Program of the Food and Drug Ad-
3 ministration.

4 “(2) The Juice Hazard Analysis Critical Con-
5 trol Points Program of the Food and Drug Adminis-
6 tration.

7 “(3) The Thermally Processed Low-Acid Foods
8 Packaged in Hermetically Sealed Containers stand-
9 ards of the Food and Drug Administration (or any
10 successor standards).

11 “(k) EXCEPTION FOR FACILITIES SUBJECT TO SEC-
12 TION 419.—This section shall not apply to a facility that
13 is subject to section 419.

14 “(l) AUTHORITY WITH RESPECT TO CERTAIN FA-
15 CILITIES.—The Secretary may, by regulation, exempt or
16 modify the requirements for compliance under this section
17 with respect to facilities that are solely engaged in the pro-
18 duction of food for animals other than man, the storage
19 of raw agricultural commodities (other than fruits and
20 vegetables) intended for further distribution or processing,
21 or the storage of packaged foods that are not exposed to
22 the environment.

23 “(m) DEFINITIONS.—For purposes of this section:

24 “(1) CRITICAL CONTROL POINT.—The term
25 ‘critical control point’ means a point, step, or proce-

1 dure in a food process at which control can be ap-
2 plied and is essential to prevent or eliminate a food
3 safety hazard or reduce such hazard to an accept-
4 able level.

5 “(2) FACILITY.—The term ‘facility’ means a
6 domestic facility or a foreign facility that is required
7 to register under section 415.

8 “(3) PREVENTIVE CONTROLS.—The term ‘pre-
9 ventive controls’ means those risk-based, reasonably
10 appropriate procedures, practices, and processes that
11 a person knowledgeable about the safe manufac-
12 turing, processing, packing, or holding of food would
13 employ to significantly minimize or prevent the haz-
14 ards identified under the hazard analysis conducted
15 under subsection (a) and that are consistent with
16 the current scientific understanding of safe food
17 manufacturing, processing, packing, or holding at
18 the time of the analysis. Those procedures, practices,
19 and processes may include the following:

20 “(A) Sanitation procedures for food con-
21 tact surfaces and utensils and food-contact sur-
22 faces of equipment.

23 “(B) Supervisor, manager, and employee
24 hygiene training.

1 “(C) An environmental monitoring pro-
2 gram to verify the effectiveness of pathogen
3 controls in processes where a food is exposed to
4 a potential contaminant in the environment.

5 “(D) A food allergen control program.

6 “(E) A recall plan.

7 “(F) Good Manufacturing Practices
8 (GMPs).

9 “(G) Supplier verification activities.”.

10 (b) REGULATIONS.—

11 (1) IN GENERAL.—Not later than 18 months
12 after the date of enactment of this Act, the Sec-
13 retary of Health and Human Services (referred to in
14 this Act as the “Secretary”) shall promulgate regu-
15 lations to establish science-based minimum stand-
16 ards for conducting a hazard analysis, documenting
17 hazards, implementing preventive controls, and doc-
18 umenting the implementation of the preventive con-
19 trols under section 418 of the Federal Food, Drug,
20 and Cosmetic Act (as added by subsection (a)).

21 (2) CONTENT.—The regulations promulgated
22 under paragraph (1) shall provide sufficient flexi-
23 bility to be applicable in all situations, including in
24 the operations of small businesses.

1 (3) RULE OF CONSTRUCTION.—Nothing in this
2 subsection shall be construed to provide the Sec-
3 retary with the authority to apply specific tech-
4 nologies, practices, or critical controls to an indi-
5 vidual facility.

6 (4) REVIEW.—In promulgating the regulations
7 under paragraph (1), the Secretary shall review reg-
8 ulatory hazard analysis and preventive control pro-
9 grams in existence on the date of enactment of this
10 Act to ensure that the program under such section
11 418 is consistent, to the extent practicable, with ap-
12 plicable domestic and internationally-recognized
13 standards in existence on such date.

14 (c) GUIDANCE DOCUMENT.—The Secretary shall
15 issue a guidance document related to hazard analysis and
16 preventive controls related to the regulations promulgated
17 under section 418 of the Federal Food, Drug, and Cos-
18 metic Act (as added by subsection (a)).

19 (d) PROHIBITED ACTS.—Section 301 (21 U.S.C.
20 331) is amended by adding at the end the following:

21 “(uu) The operation of a facility that manufacturers,
22 processes, packs, or holds food for sale in the United
23 States if the owner, operator, or agent in charge of such
24 facility is not in compliance with section 418.”.

1 (e) NO EFFECT ON HACCP AUTHORITIES.—Noth-
2 ing in the amendments made by this section limits the au-
3 thority of the Secretary under the Federal Food, Drug,
4 and Cosmetic Act (21 U.S.C. 301 et seq.) or the Public
5 Health Service Act (42 U.S.C. 201 et seq.) to revise, issue,
6 or enforce product and category-specific regulations, such
7 as the Seafood Hazard Analysis Critical Controls Points
8 Program, the Juice Hazard Analysis Critical Control Pro-
9 gram, and the Thermally Processed Low-Acid Foods
10 Packaged in Hermetically Sealed Containers standards.

11 (f) DIETARY SUPPLEMENTS.—Nothing in the amend-
12 ments made by this section shall apply to any dietary sup-
13 plement that is in compliance with the requirements of
14 sections 402(g)(2) and 761 of the Federal Food, Drug,
15 and Cosmetic Act (21 U.S.C. 342(g)(2), 379aa-1).

16 (g) NO EFFECT ON ALCOHOL-RELATED FACILI-
17 TIES.—Nothing in the amendments made by this section
18 shall apply to a facility that—

19 (1) under the Federal Alcohol Administration
20 Act (27 U.S.C. 201 et seq.) or chapter 51 of subtitle
21 E of the Internal Revenue Code of 1986 (26 U.S.C.
22 5291 et seq.) is required to obtain a permit or to
23 register with the Secretary of the Treasury as a con-
24 dition of doing business in the United States; and

1 (2) is required to register as a facility under
2 section 415 of the Federal Food, Drug, and Cos-
3 metic Act (21 U.S.C. 350d) because such facility is
4 engaged in manufacturing, processing, packing, or
5 holding 1 or more alcoholic beverages,
6 with respect to the activities of such facility that relate
7 to the manufacturing, processing, packing, or holding of
8 alcoholic beverages.

9 (h) EFFECTIVE DATE.—

10 (1) GENERAL RULE.—The amendments made
11 by this section shall take effect 18 months after the
12 date of enactment of this Act.

13 (2) EXCEPTIONS.—Notwithstanding paragraph
14 (1)—

15 (A) the amendments made by this section
16 shall apply to a small business (as defined by
17 the Secretary for purposes of this section, not
18 later than 90 days after the date of enactment
19 of this Act) after the date that is 2 years after
20 the date of enactment of this Act; and

21 (B) the amendments made by this section
22 shall apply to a very small business (as defined
23 by the Secretary for purposes of this section,
24 not later than 90 days after the date of enact-

1 ment of this Act) after the date that is 3 years
2 after the date of enactment of this Act.

3 **SEC. 104. PERFORMANCE STANDARDS.**

4 The Secretary shall, not less frequently than every
5 2 years, review and evaluate relevant health data and
6 other relevant information, including from toxicological
7 and epidemiological studies and analyses, to determine the
8 most significant foodborne contaminants. Based on such
9 review and evaluation, and when appropriate to reduce the
10 risk of serious illness or death to humans or animals or
11 to prevent adulteration of the food under section 402 of
12 the Federal Food, Drug, or Cosmetic Act (21 U.S.C. 342)
13 or to prevent the spread of communicable disease under
14 section 361 of the Public Health Service Act (42 U.S.C.
15 264), the Secretary shall issue contaminant-specific and
16 science-based guidance documents, action levels, or regula-
17 tions. Such guidance, action levels, or regulations shall
18 apply to products or product classes and shall not be writ-
19 ten to be facility-specific.

20 **SEC. 105. STANDARDS FOR PRODUCE SAFETY.**

21 (a) IN GENERAL.—Chapter IV (21 U.S.C. 341 et
22 seq.), as amended by section 103, is amended by adding
23 at the end the following:

24 **“SEC. 419. STANDARDS FOR PRODUCE SAFETY.**

25 “(a) PROPOSED RULEMAKING.—

1 “(1) IN GENERAL.—Not later than 1 year after
2 the date of enactment of the FDA Food Safety Mod-
3 ernization Act, the Secretary, in coordination with
4 the Secretary of Agriculture and representatives of
5 State departments of agriculture (including with re-
6 gard to the national organic program established
7 under the Organic Foods Production Act of 1990 (7
8 U.S.C. 6501 et seq.)), shall publish a notice of pro-
9 posed rulemaking to establish science-based min-
10 imum standards for the safe production and har-
11 vesting of those types of fruits and vegetables that
12 are raw agricultural commodities for which the Sec-
13 retary has determined that such standards minimize
14 the risk of serious adverse health consequences or
15 death.

16 “(2) PUBLIC INPUT.—During the comment pe-
17 riod on the notice of proposed rulemaking under
18 paragraph (1), the Secretary shall conduct not less
19 than 3 public meetings in diverse geographical areas
20 of the United States to provide persons in different
21 regions an opportunity to comment.

22 “(3) CONTENT.—The proposed rulemaking
23 under paragraph (1) shall—

24 “(A) provide sufficient flexibility to be ap-
25 plicable to various types of entities engaged in

1 the production and harvesting of raw agricul-
2 tural commodities, including small businesses
3 and entities that sell directly to consumers, and
4 be appropriate to the scale and diversity of the
5 production and harvesting of such commodities;

6 “(B) include, with respect to growing, har-
7 vesting, sorting, packing, and storage oper-
8 ations, minimum standards related to soil
9 amendments, hygiene, packaging, temperature
10 controls, animal encroachment, and water;

11 “(C) consider hazards that occur naturally,
12 may be unintentionally introduced, or may be
13 intentionally introduced, including by acts of
14 terrorism;

15 “(D) take into consideration, consistent
16 with ensuring enforceable public health protec-
17 tion, conservation and environmental practice
18 standards and policies established by Federal
19 natural resource conservation, wildlife conserva-
20 tion, and environmental agencies; and

21 “(E) in the case of production that is cer-
22 tified organic, not include any requirements
23 that conflict with or duplicate the requirements
24 of the national organic program established
25 under the Organic Foods Production Act of

1 1990 (7 U.S.C. 6501 et seq.), while providing
2 for public health protection consistent with the
3 requirements of this Act.

4 “(4) PRIORITIZATION.—The Secretary shall
5 prioritize the implementation of the regulations for
6 specific fruits and vegetables that are raw agricul-
7 tural commodities that have been associated with
8 foodborne illness outbreaks.

9 “(b) FINAL REGULATION.—

10 “(1) IN GENERAL.—Not later than 1 year after
11 the close of the comment period for the proposed
12 rulemaking under subsection (a), the Secretary shall
13 adopt a final regulation to provide for minimum
14 standards for those types of fruits and vegetables
15 that are raw agricultural commodities for which the
16 Secretary has determined that such standards mini-
17 mize the risk of serious adverse health consequences
18 or death.

19 “(2) FINAL REGULATION.—The final regulation
20 shall—

21 “(A) provide a reasonable period of time
22 for compliance, taking into account the needs of
23 small businesses for additional time to comply;

24 “(B) provide for coordination of education
25 and enforcement activities by State and local

1 officials, as designated by the Governors of the
2 respective States; and

3 “(C) include a description of the variance
4 process under subsection (c) and the types of
5 permissible variances the Secretary may grant.

6 “(c) CRITERIA.—

7 “(1) IN GENERAL.—The regulations adopted
8 under subsection (b) shall—

9 “(A) set forth those procedures, processes,
10 and practices as the Secretary determines to be
11 reasonably necessary to prevent the introduc-
12 tion of known or reasonably foreseeable biologi-
13 cal, chemical, and physical hazards, including
14 hazards that occur naturally, may be uninten-
15 tionally introduced, or may be intentionally in-
16 troduced, including by acts of terrorism, into
17 fruits and vegetables that are raw agricultural
18 commodities and to provide reasonable assur-
19 ances that the produce is not adulterated under
20 section 402; and

21 “(B) permit States and foreign countries
22 from which food is imported into the United
23 States, subject to paragraph (2), to request
24 from the Secretary variances from the require-
25 ments of the regulations, where upon approval

1 of the Secretary, the variance is considered per-
2 missible under the requirements of the regula-
3 tions adopted under subsection (b)(2)(C) and
4 where the State or foreign country determines
5 that the variance is necessary in light of local
6 growing conditions and that the procedures,
7 processes, and practices to be followed under
8 the variance are reasonably likely to ensure that
9 the produce is not adulterated under section
10 402 to the same extent as the requirements of
11 the regulation adopted under subsection (b).

12 “(2) APPROVAL OF VARIANCES.—A State or
13 foreign country from which food is imported into the
14 United States shall request a variance from the Sec-
15 retary in writing. The Secretary may deny such a re-
16 quest as not reasonably likely to ensure that the
17 produce is not adulterated under section 402 to the
18 same extent as the requirements of the regulation
19 adopted under subsection (b).

20 “(d) ENFORCEMENT.—The Secretary may coordinate
21 with the Secretary of Agriculture and, as appropriate,
22 shall contract and coordinate with the agency or depart-
23 ment designated by the Governor of each State to perform
24 activities to ensure compliance with this section.

1 “(e) GUIDANCE.—Not later than 1 year after the
2 date of enactment of the FDA Food Safety Modernization
3 Act, the Secretary shall publish, after consultation with
4 the Secretary of Agriculture and representatives of State
5 departments of agriculture, updated good agricultural
6 practices and guidance for the safe production and har-
7 vesting of specific types of fresh produce.

8 “(f) EXCEPTION FOR FACILITIES SUBJECT TO SEC-
9 TION 418.—This section shall not apply to a facility that
10 is subject to section 418.”.

11 (b) PROHIBITED ACTS.—Section 301 (21 U.S.C.
12 331), as amended by section 103, is amended by adding
13 at the end the following:

14 “(vv) The failure to comply with the requirements
15 under section 419.”.

16 (c) NO EFFECT ON HACCP AUTHORITIES.—Nothing
17 in the amendments made by this section limits the author-
18 ity of the Secretary under the Federal Food, Drug, and
19 Cosmetic Act (21 U.S.C. 301 et seq.) or the Public Health
20 Service Act (42 U.S.C. 201 et seq.) to revise, issue, or
21 enforce product and category-specific regulations, such as
22 the Seafood Hazard Analysis Critical Controls Points Pro-
23 gram, the Juice Hazard Analysis Critical Control Pro-
24 gram, and the Thermally Processed Low-Acid Foods
25 Packaged in Hermetically Sealed Containers standards.

1 **SEC. 106. PROTECTION AGAINST INTENTIONAL ADULTERA-**
2 **TION.**

3 (a) IN GENERAL.—Chapter IV (21 U.S.C. 341 et
4 seq.), as amended by section 105, is amended by adding
5 at the end the following:

6 **“SEC. 420. PROTECTION AGAINST INTENTIONAL ADULTERA-**
7 **TION.**

8 “(a) IN GENERAL.—Not later than 2 years after the
9 date of enactment of the FDA Food Safety Modernization
10 Act, the Secretary, in consultation with the Secretary of
11 Homeland Security and the Secretary of Agriculture, shall
12 promulgate regulations to protect against the intentional
13 adulteration of food subject to this Act.

14 “(b) APPLICABILITY.—Regulations under subsection
15 (a) shall apply only to food—

16 “(1) for which the Secretary has identified clear
17 vulnerabilities (including short shelf-life or suscepti-
18 bility to intentional contamination at critical control
19 points);

20 “(2) in bulk or batch form, prior to being pack-
21 aged for the final consumer; and

22 “(3) for which there is a high risk of intentional
23 contamination, as determined by the Secretary, that
24 could cause serious adverse health consequences or
25 death to humans or animals.

1 “(c) DETERMINATIONS.—In making the determina-
2 tion under subsection (b)(3), the Secretary shall—

3 “(1) conduct vulnerability assessments of the
4 food system;

5 “(2) consider the best available understanding
6 of uncertainties, risks, costs, and benefits associated
7 with guarding against intentional adulteration at
8 vulnerable points; and

9 “(3) determine the types of science-based miti-
10 gation strategies or measures that are necessary to
11 protect against the intentional adulteration of food.

12 “(d) CONTENT OF REGULATIONS.—Regulations
13 under subsection (a) shall—

14 “(1) specify how a person shall assess whether
15 the person is required to implement mitigation strat-
16 egies or measures intended to protect against the in-
17 tentional adulteration of food; and

18 “(2) specify appropriate science-based mitiga-
19 tion strategies or measures to prepare and protect
20 the food supply chain at specific vulnerable points,
21 as appropriate.

22 “(e) EXCEPTION.—This section shall not apply to
23 farms, except for those that produce milk.

24 “(f) DEFINITION.—For purposes of this section, the
25 term ‘farm’ has the meaning given that term in section

1 1.227 of title 21, Code of Federal Regulations (or any suc-
2 cessor regulation).”.

3 (b) GUIDANCE DOCUMENTS.—

4 (1) IN GENERAL.—Not later than 1 year after
5 the date of enactment of this Act, the Secretary of
6 Health and Human Services, in consultation with
7 the Secretary of Homeland Security and the Sec-
8 retary of Agriculture, shall issue guidance docu-
9 ments related to protection against the intentional
10 adulteration of food, including mitigation strategies
11 or measures to guard against such adulteration as
12 required under section 420 of the Federal Food,
13 Drug, and Cosmetic Act, as added by subsection (a).

14 (2) CONTENT.—The guidance documents issued
15 under paragraph (1) shall—

16 (A) include a model assessment for a per-
17 son to use under subsection (d)(1) of section
18 420 of the Federal Food, Drug, and Cosmetic
19 Act, as added by subsection (a);

20 (B) include examples of mitigation strate-
21 gies or measures described in subsection (d)(2)
22 of such section; and

23 (C) specify situations in which the exam-
24 ples of mitigation strategies or measures de-

1 scribed in subsection (d)(2) of such section are
2 appropriate.

3 (3) LIMITED DISTRIBUTION.—In the interest of
4 national security, the Secretary of Health and
5 Human Services, in consultation with the Secretary
6 of Homeland Security, may determine the time and
7 manner in which the guidance documents issued
8 under paragraph (1) are made public, including by
9 releasing such documents to targeted audiences.

10 (c) PERIODIC REVIEW.—The Secretary of Health and
11 Human Services shall periodically review and, as appro-
12 priate, update the regulations under subsection (a) and
13 the guidance documents under subsection (b).

14 (d) PROHIBITED ACTS.—Section 301 (21 U.S.C. 331
15 et seq.), as amended by section 105, is amended by adding
16 at the end the following:

17 “(ww) The failure to comply with section 420.”.

18 **SEC. 107. AUTHORITY TO COLLECT FEES.**

19 (a) FEES FOR REINSPECTION, RECALL, AND IMPOR-
20 TATION ACTIVITIES.—Subchapter C of chapter VII (21
21 U.S.C. 379f et seq.) is amended by adding at the end the
22 following:

23 **“PART 6—FEES RELATED TO FOOD**

24 **“SEC. 743. AUTHORITY TO COLLECT AND USE FEES.**

25 “(a) IN GENERAL.—

1 “(1) PURPOSE AND AUTHORITY.—For fiscal
2 year 2010 and each subsequent fiscal year, the Sec-
3 retary shall, in accordance with this section, assess
4 and collect fees from—

5 “(A) the responsible party for each domes-
6 tic facility (as defined in section 415(b)) and
7 the United States agent for each foreign facility
8 subject to a reinspection in such fiscal year, to
9 cover reinspection-related costs for such year;

10 “(B) the responsible party for a domestic
11 facility (as defined in section 415(b)) and an
12 importer who does not comply with a recall
13 order under section 423 or under section 412(f)
14 in such fiscal year, to cover food recall activities
15 associated with such order performed by the
16 Secretary, including technical assistance, follow-
17 up effectiveness checks, and public notifications,
18 for such year;

19 “(C) each importer participating in the
20 voluntary qualified importer program under sec-
21 tion 806 in such year, to cover the administra-
22 tive costs of such program for such year; and

23 “(D) each importer subject to a reinspec-
24 tion in such fiscal year, to cover reinspection-re-
25 lated costs for such year.

1 “(2) DEFINITIONS.—For purposes of this sec-
2 tion—

3 “(A) the term ‘reinspection’ means—

4 “(i) with respect to domestic facilities
5 (as defined in section 415(b)), 1 or more
6 inspections conducted under section 704
7 subsequent to an inspection conducted
8 under such provision which identified non-
9 compliance materially related to a food
10 safety requirement of this Act, specifically
11 to determine whether compliance has been
12 achieved to the Secretary’s satisfaction;
13 and

14 “(ii) with respect to importers, 1 or
15 more examinations conducted under sec-
16 tion 801 subsequent to an examination
17 conducted under such provision which
18 identified noncompliance materially related
19 to a food safety requirement of this Act,
20 specifically to determine whether compli-
21 ance has been achieved to the Secretary’s
22 satisfaction;

23 “(B) the term ‘reinspection-related costs’
24 means all expenses, including administrative ex-
25 penses, incurred in connection with—

1 “(i) arranging, conducting, and evalu-
2 ating the results of reinspections; and

3 “(ii) assessing and collecting reinspec-
4 tion fees under this section; and

5 “(C) the term ‘responsible party’ has the
6 meaning given such term in section 417(a)(1).

7 “(b) ESTABLISHMENT OF FEES.—

8 “(1) IN GENERAL.—Subject to subsections (c)
9 and (d), the Secretary shall establish the fees to be
10 collected under this section for each fiscal year speci-
11 fied in subsection (a)(1), based on the methodology
12 described under paragraph (2), and shall publish
13 such fees in a Federal Register notice not later than
14 60 days before the start of each such year.

15 “(2) FEE METHODOLOGY.—

16 “(A) FEES.—Fees amounts established for
17 collection—

18 “(i) under subparagraph (A) of sub-
19 section (a)(1) for a fiscal year shall be
20 based on the Secretary’s estimate of 100
21 percent of the costs of the reinspection-re-
22 lated activities (including by type or level
23 of reinspection activity, as the Secretary
24 determines applicable) described in such
25 subparagraph (A) for such year;

1 number of importers who have sub-
2 mitted to the Secretary a notice under
3 section 806(e) informing the Sec-
4 retary of the intent of such importer
5 to participate in the program under
6 section 806 in such fiscal year.

7 “(II) RECOUPMENT.—In estab-
8 lishing the fee amounts under sub-
9 paragraph (A)(iii) for the first 5 fiscal
10 years after the date of enactment of
11 this section, the Secretary shall in-
12 clude in such fee a reasonable sur-
13 charge that provides a recoupment of
14 the costs expended by the Secretary to
15 establish and implement the first year
16 of the program under section 806.

17 “(ii) CREDITING OF FEES.—In estab-
18 lishing the fee amounts under subpara-
19 graph (A) for a fiscal year, the Secretary
20 shall provide for the crediting of fees from
21 the previous year to the next year if the
22 Secretary overestimated the amount of fees
23 needed to carry out such activities, and
24 consider the need to account for any ad-

1 justment of fees and such other factors as
2 the Secretary determines appropriate.

3 “(iii) PUBLISHED GUIDELINES.—Not
4 later than June 30, 2010, the Secretary
5 shall publish in the Federal Register a pro-
6 posed set of guidelines in consideration of
7 the burden of fee amounts on small busi-
8 ness. Such consideration may include re-
9 duced fee amounts for small businesses.
10 The Secretary shall provide for a period of
11 public comment on such guidelines. The
12 Secretary shall adjust the fee schedule for
13 small businesses subject to such fees only
14 through notice and comment rulemaking.

15 “(3) USE OF FEES.—The Secretary shall make
16 all of the fees collected pursuant to clause (i), (ii),
17 (iii), and (iv) of paragraph (2)(A) available solely to
18 pay for the costs referred to in such clause (i), (ii),
19 (iii), and (iv) of paragraph (2)(A), respectively.

20 “(c) LIMITATIONS.—

21 “(1) IN GENERAL.—Fees under subsection (a)
22 shall be refunded for a fiscal year beginning after
23 fiscal year 2010 unless the amount of the total ap-
24 propriations for food safety activities at the Food
25 and Drug Administration for such fiscal year (ex-

1 including the amount of fees appropriated for such fis-
2 cal year) is equal to or greater than the amount of
3 appropriations for food safety activities at the Food
4 and Drug Administration for fiscal year 2009 (ex-
5 cluding the amount of fees appropriated for such fis-
6 cal year), multiplied by the adjustment factor under
7 paragraph (3).

8 “(2) AUTHORITY.—If—

9 “(A) the Secretary does not assess fees
10 under subsection (a) for a portion of a fiscal
11 year because paragraph (1) applies; and

12 “(B) at a later date in such fiscal year,

13 such paragraph (1) ceases to apply,

14 the Secretary may assess and collect such fees under
15 subsection (a), without any modification to the rate
16 of such fees, notwithstanding the provisions of sub-
17 section (a) relating to the date fees are to be paid.

18 “(3) ADJUSTMENT FACTOR.—

19 “(A) IN GENERAL.—The adjustment factor
20 described in paragraph (1) shall be the total
21 percentage change that occurred in the Con-
22 sumer Price Index for all urban consumers (all
23 items; United States city average) for the 12-
24 month period ending June 30 preceding the fis-

1 cal year, but in no case shall such adjustment
2 factor be negative.

3 “(B) COMPOUNDED BASIS.—The adjust-
4 ment under subparagraph (A) made each fiscal
5 year shall be added on a compounded basis to
6 the sum of all adjustments made each fiscal
7 year after fiscal year 2009.

8 “(4) LIMITATION ON AMOUNT OF CERTAIN
9 FEES.—

10 “(A) IN GENERAL.—Notwithstanding any
11 other provision of this section and subject to
12 subparagraph (B), the Secretary may not col-
13 lect fees in a fiscal year such that the amount
14 collected—

15 “(i) under subparagraph (B) of sub-
16 section (a)(1) exceeds \$20,000,000; and

17 “(ii) under subparagraphs (A) and
18 (D) of subsection (a)(1) exceeds
19 \$25,000,000 combined.

20 “(B) EXCEPTION.—If a domestic facility
21 (as defined in section 415(b)) or an importer
22 becomes subject to a fee described in subpara-
23 graph (A), (B), or (D) of subsection (a)(1)
24 after the maximum amount of fees has been
25 collected by the Secretary under subparagraph

1 (A), the Secretary may collect a fee from such
2 facility or importer.

3 “(d) CREDITING AND AVAILABILITY OF FEES.—Fees
4 authorized under subsection (a) shall be collected and
5 available for obligation only to the extent and in the
6 amount provided in appropriations Acts. Such fees are au-
7 thorized to remain available until expended. Such sums
8 as may be necessary may be transferred from the Food
9 and Drug Administration salaries and expenses account
10 without fiscal year limitation to such appropriation ac-
11 count for salaries and expenses with such fiscal year limi-
12 tation. The sums transferred shall be available solely for
13 the purpose of paying the operating expenses of the Food
14 and Drug Administration employees and contractors per-
15 forming activities associated with these food safety fees.

16 “(e) COLLECTION OF FEES.—

17 “(1) IN GENERAL.—The Secretary shall specify
18 in the Federal Register notice described in sub-
19 section (b)(1) the time and manner in which fees as-
20 sessed under this section shall be collected.

21 “(2) COLLECTION OF UNPAID FEES.—In any
22 case where the Secretary does not receive payment
23 of a fee assessed under this section within 30 days
24 after it is due, such fee shall be treated as a claim
25 of the United States Government subject to provi-

1 sions of subchapter II of chapter 37 of title 31,
2 United States Code.

3 “(f) ANNUAL REPORT TO CONGRESS.—Not later
4 than 120 days after each fiscal year for which fees are
5 assessed under this section, the Secretary shall submit a
6 report to the Committee on Health, Education, Labor, and
7 Pensions of the Senate and the Committee on Energy and
8 Commerce of the House of Representatives, to include a
9 description of fees assessed and collected for each such
10 year and a summary description of the entities paying
11 such fees and the types of business in which such entities
12 engage.

13 “(g) AUTHORIZATION OF APPROPRIATIONS.—For fis-
14 cal year 2010 and each fiscal year thereafter, there is au-
15 thorized to be appropriated for fees under this section an
16 amount equal to the total revenue amount determined
17 under subsection (b) for the fiscal year, as adjusted or
18 otherwise affected under the other provisions of this sec-
19 tion.”.

20 (b) EXPORT CERTIFICATION FEES FOR FOODS AND
21 ANIMAL FEED.—

22 (1) AUTHORITY FOR EXPORT CERTIFICATIONS
23 FOR FOOD, INCLUDING ANIMAL FEED.—Section
24 801(e)(4)(A) (21 U.S.C. 381(e)(4)(A)) is amend-
25 ed—

1 (A) in the matter preceding clause (i), by
2 striking “a drug” and inserting “a food, drug”;

3 (B) in clause (i) by striking “exported
4 drug” and inserting “exported food, drug”; and

5 (C) in clause (ii) by striking “the drug”
6 each place it appears and inserting “the food,
7 drug”.

8 (2) CLARIFICATION OF CERTIFICATION.—Sec-
9 tion 801(e)(4) (21 U.S.C. 381(e)(4)) is amended by
10 inserting after subparagraph (B) the following new
11 subparagraph:

12 “(C) For purposes of this paragraph, a
13 certification by the Secretary shall be made on
14 such basis, and in such form (including a pub-
15 licly available listing) as the Secretary deter-
16 mines appropriate.”.

17 **SEC. 108. NATIONAL AGRICULTURE AND FOOD DEFENSE**
18 **STRATEGY.**

19 (a) DEVELOPMENT AND SUBMISSION OF STRAT-
20 EGY.—

21 (1) IN GENERAL.—Not later than 1 year after
22 the date of enactment of this Act, the Secretary of
23 Health and Human Services and the Secretary of
24 Agriculture, in coordination with the Secretary of
25 Homeland Security, shall prepare and submit to the

1 relevant committees of Congress, and make publicly
2 available on the Internet Web sites of the Depart-
3 ment of Health and Human Services and the De-
4 partment of Agriculture, the National Agriculture
5 and Food Defense Strategy.

6 (2) IMPLEMENTATION PLAN.—The strategy
7 shall include an implementation plan for use by the
8 Secretaries described under paragraph (1) in car-
9 rying out the strategy.

10 (3) RESEARCH.—The strategy shall include a
11 coordinated research agenda for use by the Secre-
12 taries described under paragraph (1) in conducting
13 research to support the goals and activities described
14 in paragraphs (1) and (2) of subsection (b).

15 (4) REVISIONS.—Not later than 4 years after
16 the date on which the strategy is submitted to the
17 relevant committees of Congress under paragraph
18 (1), and not less frequently than every 4 years there-
19 after, the Secretary of Health and Human Services
20 and the Secretary of Agriculture, in coordination
21 with the Secretary of Homeland Security, shall re-
22 vise and submit to the relevant committees of Con-
23 gress the strategy.

1 (5) CONSISTENCY WITH EXISTING PLANS.—The
2 strategy described in paragraph (1) shall be con-
3 sistent with—

4 (A) the National Incident Management
5 System;

6 (B) the National Response Framework;

7 (C) the National Infrastructure Protection
8 Plan;

9 (D) the National Preparedness Goals; and

10 (E) other relevant national strategies.

11 (b) COMPONENTS.—

12 (1) IN GENERAL.—The strategy shall include a
13 description of the process to be used by the Depart-
14 ment of Health and Human Services, the Depart-
15 ment of Agriculture, and the Department of Home-
16 land Security—

17 (A) to achieve each goal described in para-
18 graph (2); and

19 (B) to evaluate the progress made by Fed-
20 eral, State, local, and tribal governments to-
21 wards the achievement of each goal described in
22 paragraph (2).

23 (2) GOALS.—The strategy shall include a de-
24 scription of the process to be used by the Depart-
25 ment of Health and Human Services, the Depart-

1 ment of Agriculture, and the Department of Home-
2 land Security to achieve the following goals:

3 (A) PREPAREDNESS GOAL.—Enhance the
4 preparedness of the agriculture and food system
5 by—

6 (i) conducting vulnerability assess-
7 ments of the agriculture and food system;

8 (ii) mitigating vulnerabilities of the
9 system;

10 (iii) improving communication and
11 training relating to the system;

12 (iv) developing and conducting exer-
13 cises to test decontamination and disposal
14 plans;

15 (v) developing modeling tools to im-
16 prove event consequence assessment and
17 decision support; and

18 (vi) preparing risk communication
19 tools and enhancing public awareness
20 through outreach.

21 (B) DETECTION GOAL.—Improve agri-
22 culture and food system detection capabilities
23 by—

24 (i) identifying contamination in food
25 products at the earliest possible time; and

1 (ii) conducting surveillance to prevent
2 the spread of diseases.

3 (C) EMERGENCY RESPONSE GOAL.—En-
4 sure an efficient response to agriculture and
5 food emergencies by—

6 (i) immediately investigating animal
7 disease outbreaks and suspected food con-
8 tamination;

9 (ii) preventing additional human ill-
10 nesses;

11 (iii) organizing, training, and equip-
12 ping animal, plant, and food emergency re-
13 sponse teams of—

14 (I) the Federal Government; and

15 (II) State, local, and tribal gov-
16 ernments;

17 (iv) designing, developing, and evalu-
18 ating training and exercises carried out
19 under agriculture and food defense plans;
20 and

21 (v) ensuring consistent and organized
22 risk communication to the public by—

23 (I) the Federal Government;

24 (II) State, local, and tribal gov-
25 ernments; and

1 (III) the private sector.

2 (D) RECOVERY GOAL.—Secure agriculture
3 and food production after an agriculture or food
4 emergency by—

5 (i) working with the private sector to
6 develop business recovery plans to rapidly
7 resume agriculture, food production, and
8 international trade;

9 (ii) conducting exercises of the plans
10 described in subparagraph (C) with the
11 goal of long-term recovery results;

12 (iii) rapidly removing, and effectively
13 disposing of—

14 (I) contaminated agriculture and
15 food products; and

16 (II) infected plants and animals;
17 and

18 (iv) decontaminating and restoring
19 areas affected by an agriculture or food
20 emergency.

21 (e) LIMITED DISTRIBUTION.—In the interest of na-
22 tional security, the Secretary of Health and Human Serv-
23 ices and the Secretary of Agriculture, in coordination with
24 the Secretary of Homeland Security, may determine the
25 manner and format in which the National Agriculture and

1 Food Defense strategy established under this section is
2 made publicly available on the Internet Web sites of the
3 Department of Health and Human Services, the Depart-
4 ment of Homeland Security, and the Department of Agri-
5 culture, as described in subsection (a)(1).

6 **SEC. 109. FOOD AND AGRICULTURE COORDINATING COUN-**
7 **CILS.**

8 The Secretary of Homeland Security, in coordination
9 with the Secretary of Health and Human Services and the
10 Secretary of Agriculture, shall within 180 days of enact-
11 ment of this Act, and annually thereafter, submit to the
12 relevant committees of Congress, and make publicly avail-
13 able on the Internet Web site of the Department of Home-
14 land Security, a report on the activities of the Food and
15 Agriculture Government Coordinating Council and the
16 Food and Agriculture Sector Coordinating Council, includ-
17 ing the progress of such Councils on—

18 (1) facilitating partnerships between public and
19 private entities to help coordinate and enhance the
20 protection of the agriculture and food system of the
21 United States;

22 (2) providing for the regular and timely inter-
23 change of information between each council relating
24 to the security of the agriculture and food system
25 (including intelligence information);

1 (3) identifying best practices and methods for
2 improving the coordination among Federal, State,
3 local, and private sector preparedness and response
4 plans for agriculture and food defense; and

5 (4) recommending methods by which to protect
6 the economy and the public health of the United
7 States from the effects of—

8 (A) animal or plant disease outbreaks;

9 (B) food contamination; and

10 (C) natural disasters affecting agriculture
11 and food.

12 **SEC. 110. BUILDING DOMESTIC CAPACITY.**

13 (a) IN GENERAL.—

14 (1) INITIAL REPORT.—The Secretary shall, not
15 later than 2 years after the date of enactment of
16 this Act, submit to Congress a comprehensive report
17 that identifies programs and practices that are in-
18 tended to promote the safety and supply chain secu-
19 rity of food and to prevent outbreaks of foodborne
20 illness and other food-related hazards that can be
21 addressed through preventive activities. Such report
22 shall include a description of the following:

23 (A) Analysis of the need for further regula-
24 tions or guidance to industry.

1 (B) Outreach to food industry sectors, in-
2 cluding through the Food and Agriculture Co-
3 ordinating Councils referred to in section 109,
4 to identify potential sources of emerging threats
5 to the safety and security of the food supply
6 and preventive strategies to address those
7 threats.

8 (C) Systems to ensure the prompt distribu-
9 tion to the food industry of information and
10 technical assistance concerning preventive strat-
11 egies.

12 (D) Communication systems to ensure that
13 information about specific threats to the safety
14 and security of the food supply are rapidly and
15 effectively disseminated.

16 (E) Surveillance systems and laboratory
17 networks to rapidly detect and respond to
18 foodborne illness outbreaks and other food-re-
19 lated hazards, including how such systems and
20 networks are integrated.

21 (F) Outreach, education, and training pro-
22 vided to States and local governments to build
23 State and local food safety and food defense ca-
24 pabilities, including progress implementing

1 strategies developed under sections 108 and
2 205.

3 (G) The estimated resources needed to ef-
4 fectively implement the programs and practices
5 identified in the report developed in this section
6 over a 5-year period.

7 (H) The impact of requirements under this
8 Act (including amendments made by this Act)
9 on certified organic farms and facilities (as de-
10 fined in section 415 (21 U.S.C. 350d).

11 (2) BIENNIAL REPORTS.—On a biennial basis
12 following the submission of the report under para-
13 graph (1), the Secretary shall submit to Congress a
14 report that—

15 (A) reviews previous food safety programs
16 and practices;

17 (B) outlines the success of those programs
18 and practices;

19 (C) identifies future programs and prac-
20 tices; and

21 (D) includes information related to any
22 matter described in subparagraphs (A) through
23 (H) of paragraph (1), as necessary.

24 (b) RISK-BASED ACTIVITIES.—The report developed
25 under subsection (a)(1) shall describe methods that seek

1 to ensure that resources available to the Secretary for food
2 safety-related activities are directed at those actions most
3 likely to reduce risks from food, including the use of pre-
4 ventive strategies and allocation of inspection resources.
5 The Secretary shall promptly undertake those risk-based
6 actions that are identified during the development of the
7 report as likely to contribute to the safety and security
8 of the food supply.

9 (c) CAPABILITY FOR LABORATORY ANALYSES; RE-
10 SEARCH.—The report developed under subsection (a)(1)
11 shall provide a description of methods to increase capacity
12 to undertake analyses of food samples promptly after col-
13 lection, to identify new and rapid analytical techniques,
14 including commercially-available techniques that can be
15 employed at ports of entry and by Food Emergency Re-
16 sponse Network laboratories, and to provide for well-
17 equipped and staffed laboratory facilities.

18 (d) INFORMATION TECHNOLOGY.—The report devel-
19 oped under subsection (a)(1) shall include a description
20 of such information technology systems as may be needed
21 to identify risks and receive data from multiple sources,
22 including foreign governments, State, local, and tribal gov-
23 ernments, other Federal agencies, the food industry, lab-
24 oratories, laboratory networks, and consumers. The infor-
25 mation technology systems that the Secretary describes

1 shall also provide for the integration of the facility reg-
2 istration system under section 415 of the Federal Food,
3 Drug, and Cosmetic Act (21 U.S.C. 350d), and the prior
4 notice system under section 801(m) of such Act (21
5 U.S.C. 381(m)) with other information technology systems
6 that are used by the Federal Government for the proc-
7 essing of food offered for import into the United States.

8 (e) **AUTOMATED RISK ASSESSMENT.**—The report de-
9 veloped under subsection (a)(1) shall include a description
10 of progress toward developing and improving an auto-
11 mated risk assessment system for food safety surveillance
12 and allocation of resources.

13 (f) **TRACEBACK AND SURVEILLANCE REPORT.**—The
14 Secretary shall include in the report developed under sub-
15 section (a)(1) an analysis of the Food and Drug Adminis-
16 tration’s performance in foodborne illness outbreaks dur-
17 ing the 5-year period preceding the date of enactment of
18 this Act involving fruits and vegetables that are raw agri-
19 cultural commodities (as defined in section 201(r) of the
20 Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321(r))
21 and recommendations for enhanced surveillance, outbreak
22 response, and traceability. Such findings and rec-
23 ommendations shall address communication and coordina-
24 tion with the public, industry, and State and local govern-

1 ments, as such communication and coordination relates to
2 outbreak identification and traceback.

3 (g) BIENNIAL FOOD SAFETY AND FOOD DEFENSE
4 RESEARCH PLAN.—The Secretary and the Secretary of
5 Agriculture shall, on a biennial basis, submit to Congress
6 a joint food safety and food defense research plan which
7 may include studying the long-term health effects of
8 foodborne illness. Such biennial plan shall include a list
9 and description of projects conducted during the previous
10 2-year period and the plan for projects to be conducted
11 during the subsequent 2-year period.

12 **SEC. 111. SANITARY TRANSPORTATION OF FOOD.**

13 Not later than 1 year after the date of enactment
14 of this Act, the Secretary shall promulgate regulations de-
15 scribed in section 416(b) of the Federal Food, Drug, and
16 Cosmetic Act (21 U.S.C. 350e(b)).

17 **SEC. 112. FOOD ALLERGY AND ANAPHYLAXIS MANAGE-**
18 **MENT.**

19 (a) DEFINITIONS.—In this section:

20 (1) EARLY CHILDHOOD EDUCATION PRO-
21 GRAM.—The term “early childhood education pro-
22 gram” means—

23 (A) a Head Start program or an Early
24 Head Start program carried out under the
25 Head Start Act (42 U.S.C. 9831 et seq.);

1 (B) a State licensed or regulated child care
2 program or school; or

3 (C) a State prekindergarten program that
4 serves children from birth through kinder-
5 garten.

6 (2) ESEA DEFINITIONS.—The terms “local
7 educational agency”, “secondary school”, “elemen-
8 tary school”, and “parent” have the meanings given
9 the terms in section 9101 of the Elementary and
10 Secondary Education Act of 1965 (20 U.S.C. 7801).

11 (3) SCHOOL.—The term “school” includes pub-
12 lic—

13 (A) kindergartens;

14 (B) elementary schools; and

15 (C) secondary schools.

16 (4) SECRETARY.—The term “Secretary” means
17 the Secretary of Health and Human Services.

18 (b) ESTABLISHMENT OF VOLUNTARY FOOD AL-
19 LERGY AND ANAPHYLAXIS MANAGEMENT GUIDELINES.—

20 (1) ESTABLISHMENT.—

21 (A) IN GENERAL.—Not later than 1 year
22 after the date of enactment of this Act, the Sec-
23 retary, in consultation with the Secretary of
24 Education, shall—

1 (i) develop guidelines to be used on a
2 voluntary basis to develop plans for indi-
3 viduals to manage the risk of food allergy
4 and anaphylaxis in schools and early child-
5 hood education programs; and

6 (ii) make such guidelines available to
7 local educational agencies, schools, early
8 childhood education programs, and other
9 interested entities and individuals to be im-
10 plemented on a voluntary basis only.

11 (B) APPLICABILITY OF FERPA.—Each plan
12 described in subparagraph (A) that is developed
13 for an individual shall be considered an edu-
14 cation record for the purpose of the Family
15 Educational Rights and Privacy Act of 1974
16 (20 U.S.C. 1232g).

17 (2) CONTENTS.—The voluntary guidelines de-
18 veloped by the Secretary under paragraph (1) shall
19 address each of the following and may be updated
20 as the Secretary determines necessary:

21 (A) Parental obligation to provide the
22 school or early childhood education program,
23 prior to the start of every school year, with—

24 (i) documentation from their child's
25 physician or nurse—

1 (I) supporting a diagnosis of food
2 allergy, and any risk of anaphylaxis, if
3 applicable;

4 (II) identifying any food to which
5 the child is allergic;

6 (III) describing, if appropriate,
7 any prior history of anaphylaxis;

8 (IV) listing any medication pre-
9 scribed for the child for the treatment
10 of anaphylaxis;

11 (V) detailing emergency treat-
12 ment procedures in the event of a re-
13 action;

14 (VI) listing the signs and symp-
15 toms of a reaction; and

16 (VII) assessing the child's readi-
17 ness for self-administration of pre-
18 scription medication; and

19 (ii) a list of substitute meals that may
20 be offered to the child by school or early
21 childhood education program food service
22 personnel.

23 (B) The creation and maintenance of an
24 individual plan for food allergy management, in
25 consultation with the parent, tailored to the

1 needs of each child with a documented risk for
2 anaphylaxis, including any procedures for the
3 self-administration of medication by such chil-
4 dren in instances where—

5 (i) the children are capable of self-ad-
6 ministering medication; and

7 (ii) such administration is not prohib-
8 ited by State law.

9 (C) Communication strategies between in-
10 dividual schools or early childhood education
11 programs and providers of emergency medical
12 services, including appropriate instructions for
13 emergency medical response.

14 (D) Strategies to reduce the risk of expo-
15 sure to anaphylactic causative agents in class-
16 rooms and common school or early childhood
17 education program areas such as cafeterias.

18 (E) The dissemination of general informa-
19 tion on life-threatening food allergies to school
20 or early childhood education program staff, par-
21 ents, and children.

22 (F) Food allergy management training of
23 school or early childhood education program
24 personnel who regularly come into contact with
25 children with life-threatening food allergies.

1 (G) The authorization and training of
2 school or early childhood education program
3 personnel to administer epinephrine when the
4 nurse is not immediately available.

5 (H) The timely accessibility of epinephrine
6 by school or early childhood education program
7 personnel when the nurse is not immediately
8 available.

9 (I) The creation of a plan contained in
10 each individual plan for food allergy manage-
11 ment that addresses the appropriate response to
12 an incident of anaphylaxis of a child while such
13 child is engaged in extracurricular programs of
14 a school or early childhood education program,
15 such as non-academic outings and field trips,
16 before- and after-school programs or before-
17 and after-early child education program pro-
18 grams, and school-sponsored or early childhood
19 education program-sponsored programs held on
20 weekends.

21 (J) Maintenance of information for each
22 administration of epinephrine to a child at risk
23 for anaphylaxis and prompt notification to par-
24 ents.

1 (K) Other elements the Secretary deter-
2 mines necessary for the management of food al-
3 lergies and anaphylaxis in schools and early
4 childhood education programs.

5 (3) RELATION TO STATE LAW.—Nothing in this
6 section or the guidelines developed by the Secretary
7 under paragraph (1) shall be construed to preempt
8 State law, including any State law regarding wheth-
9 er students at risk for anaphylaxis may self-admin-
10 ister medication.

11 (c) SCHOOL-BASED FOOD ALLERGY MANAGEMENT
12 GRANTS.—

13 (1) IN GENERAL.—The Secretary may award
14 grants to local educational agencies to assist such
15 agencies with implementing voluntary food allergy
16 and anaphylaxis management guidelines described in
17 subsection (b).

18 (2) APPLICATION.—

19 (A) IN GENERAL.—To be eligible to receive
20 a grant under this subsection, a local edu-
21 cational agency shall submit an application to
22 the Secretary at such time, in such manner,
23 and including such information as the Secretary
24 may reasonably require.

1 (B) CONTENTS.—Each application sub-
2 mitted under subparagraph (A) shall include—

3 (i) an assurance that the local edu-
4 cational agency has developed plans in ac-
5 cordance with the food allergy and anaphy-
6 laxis management guidelines described in
7 subsection (b);

8 (ii) a description of the activities to be
9 funded by the grant in carrying out the
10 food allergy and anaphylaxis management
11 guidelines, including—

12 (I) how the guidelines will be car-
13 ried out at individual schools served
14 by the local educational agency;

15 (II) how the local educational
16 agency will inform parents and stu-
17 dents of the guidelines in place;

18 (III) how school nurses, teachers,
19 administrators, and other school-based
20 staff will be made aware of, and given
21 training on, when applicable, the
22 guidelines in place; and

23 (IV) any other activities that the
24 Secretary determines appropriate;

1 (iii) an itemization of how grant funds
2 received under this subsection will be ex-
3 pended;

4 (iv) a description of how adoption of
5 the guidelines and implementation of grant
6 activities will be monitored; and

7 (v) an agreement by the local edu-
8 cational agency to report information re-
9 quired by the Secretary to conduct evalua-
10 tions under this subsection.

11 (3) USE OF FUNDS.—Each local educational
12 agency that receives a grant under this subsection
13 may use the grant funds for the following:

14 (A) Purchase of materials and supplies, in-
15 cluding limited medical supplies such as epi-
16 nephrine and disposable wet wipes, to support
17 carrying out the food allergy and anaphylaxis
18 management guidelines described in subsection
19 (b).

20 (B) In partnership with local health de-
21 partments, school nurse, teacher, and personnel
22 training for food allergy management.

23 (C) Programs that educate students as to
24 the presence of, and policies and procedures in

1 place related to, food allergies and anaphylactic
2 shock.

3 (D) Outreach to parents.

4 (E) Any other activities consistent with the
5 guidelines described in subsection (b).

6 (4) DURATION OF AWARDS.—The Secretary
7 may award grants under this subsection for a period
8 of not more than 2 years. In the event the Secretary
9 conducts a program evaluation under this sub-
10 section, funding in the second year of the grant,
11 where applicable, shall be contingent on a successful
12 program evaluation by the Secretary after the first
13 year.

14 (5) LIMITATION ON GRANT FUNDING.—The
15 Secretary may not provide grant funding to a local
16 educational agency under this subsection after such
17 local educational agency has received 2 years of
18 grant funding under this subsection.

19 (6) MAXIMUM AMOUNT OF ANNUAL AWARDS.—
20 A grant awarded under this subsection may not be
21 made in an amount that is more than \$50,000 an-
22 nually.

23 (7) PRIORITY.—In awarding grants under this
24 subsection, the Secretary shall give priority to local
25 educational agencies with the highest percentages of

1 children who are counted under section 1124(c) of
2 the Elementary and Secondary Education Act of
3 1965 (20 U.S.C. 6333(c)).

4 (8) MATCHING FUNDS.—

5 (A) IN GENERAL.—The Secretary may not
6 award a grant under this subsection unless the
7 local educational agency agrees that, with re-
8 spect to the costs to be incurred by such local
9 educational agency in carrying out the grant ac-
10 tivities, the local educational agency shall make
11 available (directly or through donations from
12 public or private entities) non-Federal funds to-
13 ward such costs in an amount equal to not less
14 than 25 percent of the amount of the grant.

15 (B) DETERMINATION OF AMOUNT OF NON-
16 FEDERAL CONTRIBUTION.—Non-Federal funds
17 required under subparagraph (A) may be cash
18 or in kind, including plant, equipment, or serv-
19 ices. Amounts provided by the Federal Govern-
20 ment, and any portion of any service subsidized
21 by the Federal Government, may not be in-
22 cluded in determining the amount of such non-
23 Federal funds.

24 (9) ADMINISTRATIVE FUNDS.—A local edu-
25 cational agency that receives a grant under this sub-

1 section may use not more than 2 percent of the
2 grant amount for administrative costs related to car-
3 rying out this subsection.

4 (10) PROGRESS AND EVALUATIONS.—At the
5 completion of the grant period referred to in para-
6 graph (4), a local educational agency shall provide
7 the Secretary with information on how grant funds
8 were spent and the status of implementation of the
9 food allergy and anaphylaxis management guidelines
10 described in subsection (b).

11 (11) SUPPLEMENT, NOT SUPPLANT.—Grant
12 funds received under this subsection shall be used to
13 supplement, and not supplant, non-Federal funds
14 and any other Federal funds available to carry out
15 the activities described in this subsection.

16 (12) AUTHORIZATION OF APPROPRIATIONS.—
17 There is authorized to be appropriated to carry out
18 this subsection \$30,000,000 for fiscal year 2010 and
19 such sums as may be necessary for each of the 4
20 succeeding fiscal years.

21 (d) VOLUNTARY NATURE OF GUIDELINES.—

22 (1) IN GENERAL.—The food allergy and ana-
23 naphylaxis management guidelines developed by the
24 Secretary under subsection (b) are voluntary. Noth-
25 ing in this section or the guidelines developed by the

1 Secretary under subsection (b) shall be construed to
2 require a local educational agency to implement such
3 guidelines.

4 (2) EXCEPTION.—Notwithstanding paragraph
5 (1), the Secretary may enforce an agreement by a
6 local educational agency to implement food allergy
7 and anaphylaxis management guidelines as a condi-
8 tion of the receipt of a grant under subsection (c).

9 **TITLE II—IMPROVING CAPACITY**
10 **TO DETECT AND RESPOND TO**
11 **FOOD SAFETY PROBLEMS**

12 **SEC. 201. TARGETING OF INSPECTION RESOURCES FOR DO-**
13 **MESTIC FACILITIES, FOREIGN FACILITIES,**
14 **AND PORTS OF ENTRY; ANNUAL REPORT.**

15 (a) TARGETING OF INSPECTION RESOURCES FOR
16 DOMESTIC FACILITIES, FOREIGN FACILITIES, AND PORTS
17 OF ENTRY.—Chapter IV (21 U.S.C. 341 et seq.), as
18 amended by section 106, is amended by adding at the end
19 the following:

20 **“SEC. 421. TARGETING OF INSPECTION RESOURCES FOR**
21 **DOMESTIC FACILITIES, FOREIGN FACILITIES,**
22 **AND PORTS OF ENTRY; ANNUAL REPORT.**

23 “(a) IDENTIFICATION AND INSPECTION OF FACILI-
24 TIES.—

1 “(1) IDENTIFICATION.—The Secretary shall al-
2 locate resources to inspect facilities according to the
3 risk profile of the facilities, which shall be based on
4 the following factors:

5 “(A) The risk profile of the food manufac-
6 tured, processed, packed, or held at the facility.

7 “(B) The facility’s compliance history, in-
8 cluding with regard to food recalls, outbreaks,
9 and violations of food safety standards.

10 “(C) The rigor and effectiveness of the fa-
11 cility’s hazard analysis and risk-based preven-
12 tive controls.

13 “(D) Whether the food manufactured,
14 processed, packed, handled, prepared, treated,
15 distributed, or stored at the facility meets the
16 criteria for priority under section 801(h)(1).

17 “(E) Whether the facility has received a
18 certificate as described in section 809(b).

19 “(F) Any other criteria deemed necessary
20 and appropriate by the Secretary for purposes
21 of allocating inspection resources.

22 “(2) INSPECTIONS.—

23 “(A) IN GENERAL.—Beginning on the date
24 of enactment of the FDA Food Safety Mod-

1 ernization Act, the Secretary shall increase the
2 frequency of inspection of all facilities.

3 “(B) HIGH-RISK FACILITIES.—The Sec-
4 retary shall increase the frequency of inspection
5 of facilities identified under paragraph (1) as
6 high-risk facilities such that—

7 “(i) for the first 2 years after the date
8 of enactment of the FDA Food Safety
9 Modernization Act, each high-risk facility
10 is inspected not less often than once every
11 2 years; and

12 “(ii) for each succeeding year, each
13 high-risk facility is inspected not less often
14 than once each year.

15 “(C) NON-HIGH-RISK FACILITIES.—The
16 Secretary shall ensure that each facility that is
17 not identified under paragraph (1) as a high-
18 risk facility is inspected not less often than once
19 every 4 years.

20 “(b) IDENTIFICATION AND INSPECTION AT PORTS OF
21 ENTRY.—The Secretary, in consultation with the Sec-
22 retary of Homeland Security, shall allocate resources to
23 inspect articles of food imported into the United States
24 according to the risk profile of the article of food, which
25 shall be based on the following factors:

1 “(1) The risk profile of the food imported.

2 “(2) The risk profile of the countries or regions
3 of origin and countries of transport of the food im-
4 ported.

5 “(3) The compliance history of the importer, in-
6 cluding with regard to food recalls, outbreaks, and
7 violations of food safety standards.

8 “(4) The rigor and effectiveness of the foreign
9 supplier verification program under section 805.

10 “(5) Whether the food importer participates in
11 the voluntary qualified importer program under sec-
12 tion 806.

13 “(6) Whether the food meets the criteria for
14 priority under section 801(h)(1).

15 “(7) Whether the food is from a facility that
16 has received a certificate as described in section
17 809(b).

18 “(8) Any other criteria deemed appropriate by
19 the Secretary for purposes of allocating inspection
20 resources.

21 “(c) COORDINATION.—The Secretary shall improve
22 coordination and cooperation with the Secretary of Agri-
23 culture to target food inspection resources.

1 “(d) FACILITY.—For purposes of this section, the
2 term ‘facility’ means a domestic facility or a foreign facil-
3 ity that is required to register under section 415.”.

4 (b) ANNUAL REPORT.—Section 1003 (21 U.S.C.
5 393) is amended by adding at the end the following:

6 “(h) ANNUAL REPORT REGARDING FOOD.—Not
7 later than February 1 of each year, the Secretary shall
8 submit to Congress a report regarding—

9 “(1) information about food facilities includ-
10 ing—

11 “(A) the appropriations used to inspect fa-
12 cilities registered pursuant to section 415 in the
13 previous fiscal year;

14 “(B) the average cost of both a non-high-
15 risk food facility inspection and a high-risk food
16 facility inspection, if such a difference exists, in
17 the previous fiscal year;

18 “(C) the number of domestic facilities and
19 the number of foreign facilities registered pur-
20 suant to section 415 that the Secretary in-
21 spected in the previous fiscal year;

22 “(D) the number of domestic facilities and
23 the number of foreign facilities registered pur-
24 suant to section 415 that were scheduled for in-

1 specification in the previous fiscal year and which
2 the Secretary did not inspect in such year;

3 “(E) the number of high-risk facilities
4 identified pursuant to section 421 that the Sec-
5 retary inspected in the previous fiscal year; and

6 “(F) the number of high-risk facilities
7 identified pursuant to section 421 that were
8 scheduled for inspection in the previous fiscal
9 year and which the Secretary did not inspect in
10 such year.

11 “(2) information about food imports includ-
12 ing—

13 “(A) the number of lines of food imported
14 into the United States that the Secretary phys-
15 ically inspected or sampled in the previous fiscal
16 year;

17 “(B) the number of lines of food imported
18 into the United States that the Secretary did
19 not physically inspect or sample in the previous
20 fiscal year; and

21 “(C) the average cost of physically inspect-
22 ing or sampling a food line subject to this Act
23 that is imported or offered for import into the
24 United States; and

1 “(3) information on the foreign offices of the
2 Food and Drug Administration including—

3 “(A) the number of foreign offices estab-
4 lished; and

5 “(B) the number of personnel permanently
6 stationed in each foreign office.

7 “(i) PUBLIC AVAILABILITY OF ANNUAL FOOD RE-
8 PORTS.—The Secretary shall make the reports required
9 under subsection (h) available to the public on the Internet
10 Web site of the Food and Drug Administration.”.

11 **SEC. 202. RECOGNITION OF LABORATORY ACCREDITATION**
12 **FOR ANALYSES OF FOODS.**

13 (a) IN GENERAL.—Chapter IV (21 U.S.C. 341 et
14 seq.), as amended by section 201, is amended by adding
15 at the end the following:

16 **“SEC. 422. RECOGNITION OF LABORATORY ACCREDITATION**
17 **FOR ANALYSES OF FOODS.**

18 “(a) RECOGNITION OF LABORATORY ACCREDITA-
19 TION.—

20 “(1) IN GENERAL.—Not later than 2 years
21 after the date of enactment of the FDA Food Safety
22 Modernization Act, the Secretary shall—

23 “(A) provide for the recognition of accredi-
24 tation bodies that accredit laboratories, includ-
25 ing laboratories run and operated by a State or

1 locality, with a demonstrated capability to con-
2 duct sampling and analytical testing of food
3 products; and

4 “(B) establish a publicly available registry
5 of accreditation bodies, including the name of,
6 contact information for, and other information
7 deemed necessary by the Secretary about such
8 bodies.

9 “(2) FOREIGN LABORATORIES.—Accreditation
10 bodies recognized by the Secretary under paragraph
11 (1) may accredit laboratories that operate outside
12 the United States, so long as such laboratories meet
13 the accreditation standards applicable to domestic
14 laboratories accredited under this section.

15 “(3) MODEL ACCREDITATION STANDARDS.—
16 The Secretary shall develop model standards that an
17 accreditation body shall require laboratories to meet
18 in order to be included in the registry provided for
19 under paragraph (1). In developing the model stand-
20 ards, the Secretary shall look to existing standards
21 for guidance. The model standards shall include
22 methods to ensure that—

23 “(A) appropriate sampling and rapid ana-
24 lytical procedures and commercially available

1 techniques are followed and reports of analyses
2 are certified as true and accurate;

3 “(B) internal quality systems are estab-
4 lished and maintained;

5 “(C) procedures exist to evaluate and re-
6 spond promptly to complaints regarding anal-
7 yses and other activities for which the labora-
8 tory is recognized;

9 “(D) individuals who conduct the sampling
10 and analyses are qualified by training and expe-
11 rience to do so; and

12 “(E) any other criteria determined appro-
13 priate by the Secretary.

14 “(4) REVIEW OF ACCREDITATION.—To assure
15 compliance with the requirements of this section, the
16 Secretary shall—

17 “(A) periodically, or at least every 5 years,
18 reevaluate accreditation bodies recognized under
19 paragraph (1); and

20 “(B) promptly revoke the recognition of
21 any accreditation body found not to be in com-
22 pliance with the requirements of this section,
23 specifying, as appropriate, any terms and condi-
24 tions necessary for laboratories accredited by

1 such body to continue to perform testing as de-
2 scribed in this section.

3 “(b) TESTING PROCEDURES.—

4 “(1) IN GENERAL.—Food testing shall be con-
5 ducted by either Federal laboratories or non-Federal
6 laboratories that have been accredited by an accredi-
7 tation body on the registry established by the Sec-
8 retary under subsection (a) whenever such testing is
9 conducted—

10 “(A) by or on behalf of an owner or con-
11 signee—

12 “(i) in response to a specific testing
13 requirement under this Act or imple-
14 menting regulations, when applied to ad-
15 dress an identified or suspected food safety
16 problem; and

17 “(ii) as required by the Secretary, as
18 the Secretary deems appropriate, to ad-
19 dress an identified or suspected food safety
20 problem; and

21 “(B) on behalf of an owner or consignee—

22 “(i) in support of admission of an ar-
23 ticle of food under section 801(a); and

24 “(ii) under an Import Alert that re-
25 quires successful consecutive tests.

1 “(2) RESULTS OF TESTING.—The results of
2 any such testing shall be sent directly to the Food
3 and Drug Administration, except the Secretary may
4 by regulation exempt test results that do not have
5 to be so submitted if the Secretary determines that
6 such results do not contribute to the protection of
7 public health. Test results required to be submitted
8 may be submitted to the Food and Drug Adminis-
9 tration through electronic means.

10 “(c) REVIEW BY SECRETARY.—If food sampling and
11 testing performed by a laboratory run and operated by a
12 State or locality that is accredited by an accreditation
13 body on the registry established by the Secretary under
14 subsection (a) result in a State recalling a food, the Sec-
15 retary shall review the sampling and testing results for
16 the purpose of determining the need for a national recall
17 or other compliance and enforcement activities.

18 “(d) NO LIMIT ON SECRETARIAL AUTHORITY.—
19 Nothing in this section shall be construed to limit the abil-
20 ity of the Secretary to review and act upon information
21 from food testing, including determining the sufficiency of
22 such information and testing.”.

23 “(b) FOOD EMERGENCY RESPONSE NETWORK.—The
24 Secretary, in coordination with the Secretary of Agri-
25 culture, the Secretary of Homeland Security, and State,

1 local, and tribal governments shall, not later than 180
2 days after the date of enactment of this Act, and biennially
3 thereafter, submit to the relevant committees of Congress,
4 and make publicly available on the Internet Web site of
5 the Department of Health and Human Services, a report
6 on the progress in implementing a national food emer-
7 gency response laboratory network that—

8 (1) provides ongoing surveillance, rapid detec-
9 tion, and surge capacity for large-scale food-related
10 emergencies, including intentional adulteration of
11 the food supply;

12 (2) coordinates the food laboratory capacities of
13 State, local, and private food laboratories, including
14 the sharing of data between State laboratories to de-
15 velop national situational awareness;

16 (3) provides accessible, timely, accurate, and
17 consistent food laboratory services throughout the
18 United States;

19 (4) develops and implements a methods reposi-
20 tory for use by Federal, State, and local officials;

21 (5) responds to food-related emergencies; and

22 (6) is integrated with relevant laboratory net-
23 works administered by other Federal agencies.

1 **SEC. 203. INTEGRATED CONSORTIUM OF LABORATORY**
2 **NETWORKS.**

3 (a) IN GENERAL.—The Secretary of Homeland Secu-
4 rity, in coordination with the Secretary of Health and
5 Human Services, the Secretary of Agriculture, and the
6 Administrator of the Environmental Protection Agency,
7 shall maintain an agreement through which relevant lab-
8 oratory network members, as determined by the Secretary
9 of Homeland Security, shall—

10 (1) agree on common laboratory methods in
11 order to facilitate the sharing of knowledge and in-
12 formation relating to animal health, agriculture, and
13 human health;

14 (2) identify means by which each laboratory
15 network member could work cooperatively—

16 (A) to optimize national laboratory pre-
17 paredness; and

18 (B) to provide surge capacity during emer-
19 gencies; and

20 (3) engage in ongoing dialogue and build rela-
21 tionships that will support a more effective and inte-
22 grated response during emergencies.

23 (b) REPORTING REQUIREMENT.—The Secretary of
24 Homeland Security shall, on a biennial basis, submit to
25 the relevant committees of Congress, and make publicly
26 available on the Internet Web site of the Department of

1 Homeland Security, a report on the progress of the inte-
2 grated consortium of laboratory networks, as established
3 under subsection (a), in carrying out this section.

4 **SEC. 204. ENHANCING TRACEBACK AND RECORDKEEPING.**

5 (a) IN GENERAL.—The Secretary, in consultation
6 with the Secretary of Agriculture and representatives of
7 State departments of health and agriculture, shall improve
8 the capacity of the Secretary to effectively and rapidly
9 track and trace, in the event of an outbreak, fruits and
10 vegetables that are raw agricultural commodities.

11 (b) PILOT PROJECTS.—

12 (1) IN GENERAL.—Not later than 9 months
13 after the date of enactment of this Act, the Sec-
14 retary shall establish at least 3 pilot projects in co-
15 ordination with the produce industry to explore and
16 evaluate methods for rapidly and effectively tracking
17 and tracing fruits and vegetables that are raw agri-
18 cultural commodities so that, if an outbreak occurs
19 involving such a fruit or vegetable, the Secretary
20 may quickly identify, as soon as practicable, the
21 source of the outbreak and the recipients of the con-
22 taminated food.

23 (2) CONTENT.—The Secretary shall select par-
24 ticipants from the produce industry to run projects
25 which overall shall include at least 3 different types

1 of fruits or vegetables that have been the subject of
2 outbreaks during the 5-year period preceding the
3 date of enactment of this Act, and shall be selected
4 in order to develop and demonstrate—

5 (A) methods that are applicable and appro-
6 priate for small businesses; and

7 (B) technologies, including existing tech-
8 nologies, that enhance traceback and trace for-
9 ward.

10 (c) REPORT.—Not later than 18 months after the
11 date of enactment of this Act, the Secretary shall report
12 to Congress on the findings of the pilot projects under
13 subsection (b) together with recommendations for estab-
14 lishing more effective traceback and trace forward proce-
15 dures for fruits and vegetables that are raw agricultural
16 commodities.

17 (d) TRACEBACK PERFORMANCE REQUIREMENTS.—

18 (1) IN GENERAL.—Not later than 3 years after
19 the date of enactment of this Act, the Secretary
20 shall publish a notice of proposed rulemaking to es-
21 tablish standards for the type of information, for-
22 mat, and timeframe for persons to submit records to
23 aid the Secretary in effectively and rapidly tracking
24 and tracing, in the event of a foodborne illness out-
25 break, fruits and vegetables that are raw agricul-

1 tural commodities. In promulgating the regulations
2 under this paragraph, the Secretary shall consider—

3 (A) the impact of such regulations on
4 farms and small businesses;

5 (B) the findings in the report submitted
6 under subsection (c); and

7 (C) existing international trade obligations.

8 (2) LIMITATIONS.—

9 (A) TYPE OF RECORDS.—The Secretary
10 shall not require an entity that is subject to the
11 requirements of section 419 of the Federal
12 Food, Drug, and Cosmetic Act (as added by
13 section 105), but which is not a facility (as
14 such term is defined by section 415 of such
15 Act), to submit to the Secretary distribution
16 records under this section other than distribu-
17 tion records that are kept in the normal course
18 of business and that show the immediate subse-
19 quent recipient, other than a consumer.

20 (B) MAINTENANCE OF RECORDS.—Noth-
21 ing in this section shall be construed as giving
22 the Secretary the authority to prescribe specific
23 technologies for the maintenance of records.

24 (e) PUBLIC INPUT.—During the comment period in
25 the notice of proposed rulemaking under subsection (d),

1 the Secretary shall conduct not less than 3 public meetings
2 in diverse geographical areas of the United States to pro-
3 vide persons in different regions an opportunity to com-
4 ment.

5 (f) RAW AGRICULTURAL COMMODITY.—In this sec-
6 tion, the term “raw agricultural commodity” has the
7 meaning given that term in section 201(r) of the Federal
8 Food, Drug, and Cosmetic Act (21 U.S.C. 321(r)).

9 **SEC. 205. PILOT PROJECT TO ENHANCE TRACEBACK AND**
10 **RECORDKEEPING WITH RESPECT TO PROC-**
11 **ESSED FOOD.**

12 (a) IN GENERAL.—As soon as practicable after the
13 date of enactment of this Act, the Secretary shall establish
14 a pilot project to explore and evaluate methods for rapidly
15 and effectively tracking and tracing processed food so
16 that, if an outbreak occurs involving such a processed
17 food, the Secretary may quickly identify the source of the
18 outbreak and the recipients of the contaminated food.

19 (b) CONSULTATION.—In establishing the pilot project
20 under subsection (a), the Secretary shall consult with food
21 processors and relevant businesses of varying size.

22 (c) CONTENT.—The Secretary shall select partici-
23 pants from the processed food industry to run a project
24 which overall shall include 1 or more different types of
25 processed food that have been the subject of outbreaks

1 during the 5-year period preceding the date of enactment
2 of this Act and shall be selected in order to develop and
3 demonstrate—

4 (1) methods that are applicable and appropriate
5 for small businesses; and

6 (2) technologies, including existing technologies,
7 that enhance traceback and trace forward.

8 (d) REPORT.—The Secretary shall report to Congress
9 on the findings of the pilot project under this section, to-
10 gether with recommendations for establishing more effec-
11 tive traceback and trace forward procedures for processed
12 food.

13 (e) PROCESSED FOOD.—In this section, the term
14 “processed food” has the meaning given such term in sec-
15 tion 201(gg) of the Federal Food, Drug, and Cosmetic Act
16 (21 U.S.C. 321(gg)).

17 **SEC. 206. SURVEILLANCE.**

18 (a) DEFINITION OF FOODBORNE ILLNESS OUT-
19 BREAK.—In this section, the term “foodborne illness out-
20 break” means the occurrence of 2 or more cases of a simi-
21 lar illness resulting from the ingestion of a food.

22 (b) FOODBORNE ILLNESS SURVEILLANCE SYS-
23 TEMS.—

24 (1) IN GENERAL.—The Secretary, acting
25 through the Director of the Centers for Disease

1 Control and Prevention, shall enhance foodborne ill-
2 ness surveillance systems to improve the collection,
3 analysis, reporting, and usefulness of data on
4 foodborne illnesses by—

5 (A) coordinating Federal, State and local
6 foodborne illness surveillance systems, including
7 complaint systems, and increasing participation
8 in national networks of public health and food
9 regulatory agencies and laboratories;

10 (B) facilitating sharing of findings on a
11 more timely basis among governmental agen-
12 cies, including the Food and Drug Administra-
13 tion, the Department of Agriculture, and State
14 and local agencies, and with the public;

15 (C) developing improved epidemiological
16 tools for obtaining quality exposure data and
17 microbiological methods for classifying cases;

18 (D) augmenting such systems to improve
19 attribution of a foodborne illness outbreak to a
20 specific food;

21 (E) expanding capacity of such systems,
22 including working toward automatic electronic
23 searches, for implementation of identification
24 practices, including fingerprinting strategies,
25 for foodborne infectious agents, in order to

1 identify new or rarely documented causes of
2 foodborne illness and submit standardized infor-
3 mation to a centralized database;

4 (F) allowing timely public access to aggre-
5 gated, de-identified surveillance data;

6 (G) at least annually, publishing current
7 reports on findings from such systems;

8 (H) establishing a flexible mechanism for
9 rapidly initiating scientific research by academic
10 institutions;

11 (I) integrating foodborne illness surveil-
12 lance systems and data with other biosurveil-
13 lance and public health situational awareness
14 capabilities at the Federal, State, and local lev-
15 els; and

16 (J) other activities as determined appro-
17 priate by the Secretary.

18 (2) PARTNERSHIPS.—The Secretary shall sup-
19 port and maintain a diverse working group of ex-
20 perts and stakeholders from Federal, State, and
21 local food safety and health agencies, the food and
22 food testing industries, consumer organizations, and
23 academia. Such working group shall provide the Sec-
24 retary, through at least annual meetings of the
25 working group and an annual public report, advice

1 and recommendations on an ongoing and regular
2 basis regarding the improvement of foodborne illness
3 surveillance and implementation of this section, in-
4 cluding advice and recommendations on—

5 (A) the priority needs of regulatory agen-
6 cies, the food industry, and consumers for infor-
7 mation and analysis on foodborne illness and its
8 causes;

9 (B) opportunities to improve the effective-
10 ness of initiatives at the Federal, State, and
11 local levels, including coordination and integra-
12 tion of activities among Federal agencies, and
13 between the Federal, State, and local levels of
14 government;

15 (C) improvement in the timeliness and
16 depth of access by regulatory and health agen-
17 cies, the food industry, academic researchers,
18 and consumers to foodborne illness aggregated,
19 de-identified surveillance data collected by gov-
20 ernment agencies at all levels, including data
21 compiled by the Centers for Disease Control
22 and Prevention;

23 (D) key barriers to improvement in
24 foodborne illness surveillance and its utility for

1 preventing foodborne illness at Federal, State,
2 and local levels;

3 (E) the capabilities needed for establishing
4 automatic electronic searches of surveillance
5 data; and

6 (F) specific actions to reduce barriers to
7 improvement, implement the working group's
8 recommendations, and achieve the purposes of
9 this section, with measurable objectives and
10 timelines, and identification of resource and
11 staffing needs.

12 (c) IMPROVING FOOD SAFETY AND DEFENSE CAPAC-
13 ITY AT THE STATE AND LOCAL LEVEL.—

14 (1) IN GENERAL.—The Secretary shall develop
15 and implement strategies to leverage and enhance
16 the food safety and defense capacities of State and
17 local agencies in order to achieve the following goals:

18 (A) Improve foodborne illness outbreak re-
19 sponse and containment.

20 (B) Accelerate foodborne illness surveil-
21 lance and outbreak investigation, including
22 rapid shipment of clinical isolates from clinical
23 laboratories to appropriate State laboratories,
24 and conducting more standardized illness out-
25 break interviews.

1 (C) Strengthen the capacity of State and
2 local agencies to carry out inspections and en-
3 force safety standards.

4 (D) Improve the effectiveness of Federal,
5 State, and local partnerships to coordinate food
6 safety and defense resources and reduce the in-
7 cidence of foodborne illness.

8 (E) Share information on a timely basis
9 among public health and food regulatory agen-
10 cies, with the food industry, with health care
11 providers, and with the public.

12 (F) Strengthen the capacity of State and
13 local agencies to achieve the goals described in
14 section 108.

15 (2) REVIEW.—In developing of the strategies
16 required by paragraph (1), the Secretary shall, not
17 later than 1 year after the date of enactment of the
18 FDA Food Safety Modernization Act, complete a re-
19 view of State and local capacities, and needs for en-
20 hancement, which may include a survey with respect
21 to—

22 (A) staffing levels and expertise available
23 to perform food safety and defense functions;

1 (B) laboratory capacity to support surveil-
2 lance, outbreak response, inspection, and en-
3 forcement activities;

4 (C) information systems to support data
5 management and sharing of food safety and de-
6 fense information among State and local agen-
7 cies and with counterparts at the Federal level;
8 and

9 (D) other State and local activities and
10 needs as determined appropriate by the Sec-
11 retary.

12 (d) **FOOD SAFETY CAPACITY BUILDING GRANTS.**—
13 Section 317R(b) of the Public Health Service Act (42
14 U.S.C. 247b–20(b)) is amended—

15 (1) by striking “2002” and inserting “2010”;
16 and

17 (2) by striking “2003 through 2006” and in-
18 serting “2011 through 2014”.

19 **SEC. 207. MANDATORY RECALL AUTHORITY.**

20 (a) **IN GENERAL.**—Chapter IV (21 U.S.C. 341 et
21 seq.), as amended by section 202, is amended by adding
22 at the end the following:

23 **“SEC. 423. MANDATORY RECALL AUTHORITY.**

24 “(a) **VOLUNTARY PROCEDURES.**—If the Secretary
25 determines, based on information gathered through the re-

1 portable food registry under section 417 or through any
2 other means, that there is a reasonable probability that
3 an article of food (other than infant formula) is adulter-
4 ated under section 402 or misbranded under section
5 403(w) and the use of or exposure to such article will
6 cause serious adverse health consequences or death to hu-
7 mans or animals, the Secretary shall provide the respon-
8 sible party (as defined in section 417) with an opportunity
9 to cease distribution and recall such article.

10 “(b) PREHEARING ORDER TO CEASE DISTRIBUTION
11 AND GIVE NOTICE.—If the responsible party refuses to
12 or does not voluntarily cease distribution or recall such
13 article within the time and in the manner prescribed by
14 the Secretary (if so prescribed), the Secretary may, by
15 order require, as the Secretary deems necessary, such per-
16 son to—

17 “(1) immediately cease distribution of such arti-
18 cle; and

19 “(2) as applicable, immediately notify all per-
20 sons—

21 “(A) manufacturing, processing, packing,
22 transporting, distributing, receiving, holding, or
23 importing and selling such article; and

1 “(B) to which such article has been dis-
2 tributed, transported, or sold, to immediately
3 cease distribution of such article.

4 “(c) HEARING ON ORDER.—The Secretary shall pro-
5 vide the responsible party subject to an order under sub-
6 section (b) with an opportunity for an informal hearing,
7 to be held as soon as possible, but not later than 2 days
8 after the issuance of the order, on the actions required
9 by the order and on why the article that is the subject
10 of the order should not be recalled.

11 “(d) POST-HEARING RECALL ORDER AND MODIFICA-
12 TION OF ORDER.—

13 “(1) AMENDMENT OF ORDER.—If, after pro-
14 viding opportunity for an informal hearing under
15 subsection (c), the Secretary determines that re-
16 moval of the article from commerce is necessary, the
17 Secretary shall, as appropriate—

18 “(A) amend the order to require recall of
19 such article or other appropriate action;

20 “(B) specify a timetable in which the recall
21 shall occur;

22 “(C) require periodic reports to the Sec-
23 retary describing the progress of the recall; and

24 “(D) provide notice to consumers to whom
25 such article was, or may have been, distributed.

1 “(2) VACATING OF ORDER.—If, after such hear-
2 ing, the Secretary determines that adequate grounds
3 do not exist to continue the actions required by the
4 order, or that such actions should be modified, the
5 Secretary shall vacate the order or modify the order.

6 “(e) COOPERATION AND CONSULTATION.—The Sec-
7 retary shall work with State and local public health offi-
8 cials in carrying out this section, as appropriate.

9 “(f) PUBLIC NOTIFICATION.—In conducting a recall
10 under this section, the Secretary shall—

11 “(1) ensure that a press release is published re-
12 garding the recall, as well as alerts and public no-
13 tices, as appropriate, in order to provide notifica-
14 tion—

15 “(A) of the recall to consumers and retail-
16 ers to whom such article was, or may have
17 been, distributed; and

18 “(B) that includes, at a minimum—

19 “(i) the name of the article of food
20 subject to the recall; and

21 “(ii) a description of the risk associ-
22 ated with such article;

23 “(2) consult the policies of the Department of
24 Agriculture regarding providing to the public a list
25 of retail consignees receiving products involved in a

1 Class I recall and shall consider providing such a list
2 to the public, as determined appropriate by the Sec-
3 retary; and

4 “(3) if available, publish on the Internet Web
5 site of the Food and Drug Administration an image
6 of the article that is the subject of the press release
7 described in (1).

8 “(g) NO DELEGATION.—The authority conferred by
9 this section to order a recall or vacate a recall order shall
10 not be delegated to any officer or employee other than the
11 Commissioner.

12 “(h) EFFECT.—Nothing in this section shall affect
13 the authority of the Secretary to request or participate
14 in a voluntary recall.”.

15 (b) SEARCH ENGINE.—Not later than 90 days after
16 the date of enactment of this Act, the Secretary shall mod-
17 ify the Internet Web site of the Food and Drug Adminis-
18 tration to include a search engine that—

19 (1) is consumer-friendly, as determined by the
20 Secretary; and

21 (2) provides a means by which an individual
22 may locate relevant information regarding each arti-
23 cle of food subject to a recall under section 420 of
24 the Federal Food, Drug, and Cosmetic Act and the

1 status of such recall (such as whether a recall is on-
2 going or has been completed).

3 (c) CIVIL PENALTY.—Section 303(f)(2)(A) (21
4 U.S.C. 333(f)(2)(A)) is amended by inserting “or any per-
5 son who does not comply with a recall order under section
6 423” after “section 402(a)(2)(B)”.

7 (d) PROHIBITED ACTS.—Section 301 (21 U.S.C. 331
8 et seq.), as amended by section 106, is amended by adding
9 at the end the following:

10 “(xx) The refusal or failure to follow an order under
11 section 423.”

12 **SEC. 208. ADMINISTRATIVE DETENTION OF FOOD.**

13 (a) IN GENERAL.—Section 304(h)(1)(A) (21 U.S.C.
14 334(h)(1)(A)) is amended by—

15 (1) striking “credible evidence or information
16 indicating” and inserting “reason to believe”; and

17 (2) striking “presents a threat of serious ad-
18 verse health consequences or death to humans or
19 animals” and inserting “is adulterated or mis-
20 branded”.

21 (b) REGULATIONS.—Not later than 120 days after
22 the date of enactment of this Act, the Secretary shall issue
23 an interim final rule amending subpart K of part 1 of title
24 21, Code of Federal Regulations, to implement the amend-
25 ment made by this section.

1 (c) **EFFECTIVE DATE.**—The amendment made by
2 this section shall take effect 180 days after the date of
3 enactment of this Act.

4 **SEC. 209. DECONTAMINATION AND DISPOSAL STANDARDS**
5 **AND PLANS.**

6 (a) **IN GENERAL.**—The Administrator of the Envi-
7 ronmental Protection Agency (referred to in this section
8 as the “Administrator”), in coordination with the Sec-
9 retary of Health and Human Services, Secretary of Home-
10 land Security, and Secretary of Agriculture, shall provide
11 support for, and technical assistance to, State, local, and
12 tribal governments in preparing for, assessing, decontami-
13 nating, and recovering from an agriculture or food emer-
14 gency.

15 (b) **DEVELOPMENT OF STANDARDS.**—In carrying out
16 subsection (a), the Administrator, in coordination with the
17 Secretary of Health and Human Services, Secretary of
18 Homeland Security, Secretary of Agriculture, and State,
19 local, and tribal governments, shall develop and dissemi-
20 nate specific standards and protocols to undertake clean-
21 up, clearance, and recovery activities following the decon-
22 tamination and disposal of specific threat agents and for-
23 eign animal diseases.

24 (c) **DEVELOPMENT OF MODEL PLANS.**—In carrying
25 out subsection (a), the Administrator, the Secretary of

1 Health and Human Services, and the Secretary of Agri-
2 culture shall jointly develop and disseminate model plans
3 for—

4 (1) the decontamination of individuals, equip-
5 ment, and facilities following an intentional contami-
6 nation of agriculture or food; and

7 (2) the disposal of large quantities of animals,
8 plants, or food products that have been infected or
9 contaminated by specific threat agents and foreign
10 animal diseases.

11 (d) EXERCISES.—In carrying out subsection (a), the
12 Administrator, in coordination with the entities described
13 under subsection (b), shall conduct exercises at least annu-
14 ally to evaluate and identify weaknesses in the decon-
15 tamination and disposal model plans described in sub-
16 section (c). Such exercises shall be carried out, to the max-
17 imum extent practicable, as part of the national exercise
18 program under section 648(b)(1) of the Post-Katrina
19 Emergency Management Reform Act of 2006 (6 U.S.C.
20 748(b)(1)).

21 (e) MODIFICATIONS.—Based on the exercises de-
22 scribed in subsection (d), the Administrator, in coordina-
23 tion with the entities described in subsection (b), shall re-
24 view and modify as necessary the plans described in sub-
25 section (c) not less frequently than biennially.

1 (f) PRIORITIZATION.—The Administrator, in coordi-
2 nation with the entities described in subsection (b), shall
3 develop standards and plans under subsections (b) and (c)
4 in an identified order of priority that takes into account—

5 (1) highest-risk biological, chemical, and radio-
6 logical threat agents;

7 (2) agents that could cause the greatest eco-
8 nomic devastation to the agriculture and food sys-
9 tem; and

10 (3) agents that are most difficult to clean or re-
11 mediate.

12 **SEC. 210. IMPROVING THE TRAINING OF STATE, LOCAL,**
13 **TERRITORIAL, AND TRIBAL FOOD SAFETY OF-**
14 **FICIALS.**

15 Chapter X (21 U.S.C.391 et seq.) is amended by add-
16 ing at the end the following:

17 **“SEC. 1011. IMPROVING THE TRAINING OF STATE, LOCAL,**
18 **TERRITORIAL, AND TRIBAL FOOD SAFETY OF-**
19 **FICIALS.**

20 “(a) TRAINING.—The Secretary shall set standards
21 and administer training and education programs for the
22 employees of State, local, territorial, and tribal food safety
23 officials relating to the regulatory responsibilities and poli-
24 cies established by this Act, including programs for—

25 “(1) scientific training;

1 “(2) training to improve the skill of officers and
2 employees authorized to conduct inspections under
3 sections 702 and 704;

4 “(3) training to achieve advanced product or
5 process specialization in such inspections;

6 “(4) training that addresses best practices;

7 “(5) training in administrative process and pro-
8 cedure and integrity issues;

9 “(6) training in appropriate sampling and lab-
10 oratory analysis methodology; and

11 “(7) training in building enforcement actions
12 following inspections, examinations, testing, and in-
13 vestigations.

14 “(b) PARTNERSHIPS WITH STATE AND LOCAL OFFI-
15 CIALS.—

16 “(1) IN GENERAL.—The Secretary, pursuant to
17 a contract or memorandum of understanding be-
18 tween the Secretary and the head of a State, local,
19 territorial, or tribal department or agency, is author-
20 ized and encouraged to conduct examinations, test-
21 ing, and investigations for the purposes of deter-
22 mining compliance with the food safety provisions of
23 this Act through the officers and employees of such
24 State, local, territorial, or tribal department or agen-
25 cy.

1 “(2) CONTENT.—A contract or memorandum
2 described under paragraph (1) shall include provi-
3 sions to ensure adequate training of such officers
4 and employees to conduct such examinations, test-
5 ing, and investigations. The contract or memo-
6 randum shall contain provisions regarding reim-
7 bursement. Such provisions may, at the sole discre-
8 tion of the head of the other department or agency,
9 require reimbursement, in whole or in part, from the
10 Secretary for the examinations, testing, or investiga-
11 tions performed pursuant to this section by the offi-
12 cers or employees of the State, territorial, or tribal
13 department or agency.

14 “(3) EFFECT.—Nothing in this subsection shall
15 be construed to limit the authority of the Secretary
16 under section 702.

17 “(c) EXTENSION SERVICE.—The Secretary shall en-
18 sure coordination with the extension activities of the Na-
19 tional Institute of Food and Agriculture of the Depart-
20 ment of Agriculture in advising producers and small proc-
21 essors transitioning into new practices required as a result
22 of the enactment of the FDA Food Safety Modernization
23 Act and assisting regulated industry with compliance with
24 such Act.

1 “(d) AUTHORIZATION OF APPROPRIATIONS.—There
2 are authorized to be appropriated such sums as may be
3 necessary to carry out this section for fiscal years 2011
4 through 2015.”.

5 **SEC. 211. GRANTS TO ENHANCE FOOD SAFETY.**

6 Section 1009 of the Federal Food, Drug, and Cos-
7 metic Act (21 U.S.C. 399) is amended to read as follows:

8 **“SEC. 1009. GRANTS TO ENHANCE FOOD SAFETY.**

9 “(a) IN GENERAL.—The Secretary is authorized to
10 make grants to States, localities, territories, and Indian
11 tribes (as defined in section 4(e) of the Indian Self-Deter-
12 mination and Education Assistance Act (25 U.S.C.
13 450b(e))) to—

14 “(1) undertake examinations, inspections, and
15 investigations, and related food safety activities
16 under section 702;

17 “(2) train to the standards of the Secretary for
18 the examination, inspection, and investigation of
19 food manufacturing, processing, packing, holding,
20 distribution, and importation, including as such ex-
21 amination, inspection, and investigation relate to re-
22 tail food establishments;

23 “(3) build the capacity of the laboratories of
24 such State, locality, territory, or Indian tribe for
25 food safety;

1 “(4) build the infrastructure and capacity of
2 the food safety programs of such State, locality, ter-
3 ritory, or Indian tribe to meet the standards as out-
4 lined in the grant application; and

5 “(5) take appropriate action to protect the pub-
6 lic health in response to—

7 “(A) a notification under section 1008, in-
8 cluding planning and otherwise preparing to
9 take such action; or

10 “(B) a recall of food under this Act.

11 “(b) APPLICATION.—

12 “(1) IN GENERAL.—To be eligible to receive a
13 grant under this section, a State, locality, territory,
14 or Indian tribe shall submit an application to the
15 Secretary at such time, in such manner, and includ-
16 ing such information as the Secretary may reason-
17 ably require.

18 “(2) CONTENTS.—Each application submitted
19 under paragraph (1) shall include—

20 “(A) an assurance that the State, locality,
21 territory, or Indian tribe has developed plans to
22 engage in the types of activities described in
23 subsection (a);

24 “(B) a description of the types of activities
25 to be funded by the grant;

1 “(C) an itemization of how grant funds re-
2 ceived under this section will be expended;

3 “(D) a description of how grant activities
4 will be monitored; and

5 “(E) an agreement by the State, locality,
6 territory, or Indian tribe to report information
7 required by the Secretary to conduct evalua-
8 tions under this section.

9 “(c) LIMITATIONS.—The funds provided under sub-
10 section (a) shall be available to a State, locality, territory,
11 or Indian tribe only to the extent such State, locality, ter-
12 ritory, or Indian tribe funds its food safety programs inde-
13 pendently of any grant under this section in each year of
14 the grant at a level equal to the level of such funding in
15 the previous year, increased by the Consumer Price Index.

16 “(d) ADDITIONAL AUTHORITY.—The Secretary
17 may—

18 “(1) award a grant under this section in each
19 subsequent fiscal year without reapplication for a pe-
20 riod of not more than 3 years, provided the require-
21 ments of subsection (c) are met for the previous fis-
22 cal year; and

23 “(2) award a grant under this section in a fis-
24 cal year for which the requirement of subsection (c)
25 has not been met only if such requirement was not

1 met because such funding was diverted for response
2 to 1 or more natural disasters or in other extenu-
3 ating circumstances that the Secretary may deter-
4 mine appropriate.

5 “(e) DURATION OF AWARDS.—The Secretary may
6 award grants to an individual grant recipient under this
7 section for a period of not more than 3 years. In the event
8 the Secretary conducts a program evaluation, funding in
9 the second year or third year of the grant, where applica-
10 ble, shall be contingent on a successful program evaluation
11 by the Secretary after the first year.

12 “(f) PROGRESS AND EVALUATION.—A grant recipi-
13 ent shall at the end of each year provide the Secretary
14 with information on how grant funds were spent and the
15 status of the efforts by such recipient to enhance food
16 safety.

17 “(g) SUPPLEMENT NOT SUPPLANT.—Grant funds
18 received under this section shall be used to supplement,
19 and not supplant, non-Federal funds and any other Fed-
20 eral funds available to carry out the activities described
21 in this section.

22 “(h) AUTHORIZATION OF APPROPRIATIONS.—For the
23 purpose of making grants under this section, there are au-
24 thorized to be appropriated such sums as may be nec-
25 essary for fiscal years 2011 through 2015.”.

1 **TITLE III—IMPROVING THE**
2 **SAFETY OF IMPORTED FOOD**

3 **SEC. 301. FOREIGN SUPPLIER VERIFICATION PROGRAM.**

4 (a) IN GENERAL.—Chapter VIII (21 U.S.C. 381 et
5 seq.) is amended by adding at the end the following:

6 **“SEC. 805. FOREIGN SUPPLIER VERIFICATION PROGRAM.**

7 “(a) IN GENERAL.—

8 “(1) VERIFICATION REQUIREMENT.—Each im-
9 porter shall perform risk-based foreign supplier
10 verification activities for the purpose of verifying
11 that the food imported by the importer or its agent
12 is—

13 “(A) produced in compliance with the re-
14 quirements of section 418 or 419, as appro-
15 priate; and

16 “(B) is not adulterated under section 402
17 or misbranded under section 403(w).

18 “(2) IMPORTER DEFINED.—For purposes of
19 this section, the term ‘importer’ means, with respect
20 to an article of food—

21 “(A) the United States owner or consignee
22 of the article of food at the time of entry of
23 such article into the United States; or

24 “(B) in the case when there is no United
25 States owner or consignee as described in sub-

1 paragraph (A), the United States agent or rep-
2 resentative of a foreign owner or consignee of
3 the article of food at the time of entry of such
4 article into the United States.

5 “(b) GUIDANCE.—Not later than 1 year after the
6 date of enactment of the FDA Food Safety Modernization
7 Act, the Secretary shall issue guidance to assist importers
8 in developing foreign supplier verification programs.

9 “(c) REGULATIONS.—

10 “(1) IN GENERAL.—Not later than 1 year after
11 the date of enactment of the FDA Food Safety Mod-
12 ernization Act, the Secretary shall promulgate regu-
13 lations to provide for the content of the foreign sup-
14 plier verification program established under sub-
15 section (a). Such regulations shall, as appropriate,
16 include a process for verification by an importer,
17 with respect to each foreign supplier from which it
18 obtains food, that the imported food is produced in
19 compliance with the requirements of section 418 or
20 419, as appropriate, and is not adulterated under
21 section 402 or misbranded under section 403(w).

22 “(2) VERIFICATION.—The regulations under
23 paragraph (1) shall require that the foreign supplier
24 verification program of each importer be adequate to
25 provide assurances that each foreign supplier to the

1 importer produces the imported food employing
2 processes and procedures, including risk-based rea-
3 sonably appropriate preventive controls, equivalent
4 in preventing adulteration and reducing hazards to
5 those required by section 418 or section 419, as ap-
6 propriate.

7 “(3) ACTIVITIES.—Verification activities under
8 a foreign supplier verification program under this
9 section may include monitoring records for ship-
10 ments, lot-by-lot certification of compliance, annual
11 on-site inspections, checking the hazard analysis and
12 risk-based preventive control plan of the foreign sup-
13 plier, and periodically testing and sampling ship-
14 ments.

15 “(d) RECORD MAINTENANCE AND ACCESS.—Records
16 of an importer related to a foreign supplier verification
17 program shall be maintained for a period of not less than
18 2 years and shall be made available promptly to a duly
19 authorized representative of the Secretary upon request.

20 “(e) DEEMED COMPLIANCE OF SEAFOOD, JUICE,
21 AND LOW-ACID CANNED FOOD FACILITIES IN COMPLI-
22 ANCE WITH HACCP.—The owner, operator, or agent in
23 charge of a facility required to comply with 1 of the fol-
24 lowing standards and regulations with respect to such fa-

1 cility shall be deemed to be in compliance with this section
2 with respect to such facility:

3 “(1) The Seafood Hazard Analysis Critical
4 Control Points Program of the Food and Drug Ad-
5 ministration.

6 “(2) The Juice Hazard Analysis Critical Con-
7 trol Points Program of the Food and Drug Adminis-
8 tration.

9 “(3) The Thermally Processed Low-Acid Foods
10 Packaged in Hermetically Sealed Containers stand-
11 ards of the Food and Drug Administration (or any
12 successor standards).

13 “(f) PUBLICATION OF LIST OF PARTICIPANTS.—The
14 Secretary shall publish and maintain on the Internet Web
15 site of the Food and Drug Administration a current list
16 that includes the name of, location of, and other informa-
17 tion deemed necessary by the Secretary about, importers
18 participating under this section.”.

19 (b) PROHIBITED ACT.—Section 301 (21 U.S.C. 331),
20 as amended by section 207, is amended by adding at the
21 end the following:

22 “(yy) The importation or offering for importation of
23 a food if the importer (as defined in section 805) does
24 not have in place a foreign supplier verification program
25 in compliance with such section 805.”.

1 (c) IMPORTS.—Section 801(a) (21 U.S.C. 381(a)) is
2 amended by adding “or the importer (as defined in section
3 805) is in violation of such section 805” after “or in viola-
4 tion of section 505”.

5 (d) EFFECTIVE DATE.—The amendments made by
6 this section shall take effect 2 years after the date of en-
7 actment of this Act.

8 **SEC. 302. VOLUNTARY QUALIFIED IMPORTER PROGRAM.**

9 Chapter VIII (21 U.S.C. 381 et seq.), as amended
10 by section 301, is amended by adding at the end the fol-
11 lowing:

12 **“SEC. 806. VOLUNTARY QUALIFIED IMPORTER PROGRAM.**

13 “(a) IN GENERAL.—Beginning not later than 1 year
14 after the date of enactment of the FDA Food Safety Mod-
15 ernization Act, the Secretary shall—

16 “(1) establish a program, in consultation with
17 the Secretary of Homeland Security, to provide for
18 the expedited review and importation of food offered
19 for importation by importers who have voluntarily
20 agreed to participate in such program; and

21 “(2) issue a guidance document related to par-
22 ticipation and compliance with such program.

23 “(b) VOLUNTARY PARTICIPATION.—An importer may
24 request the Secretary to provide for the expedited review

1 and importation of designated foods in accordance with
2 the program procedures established by the Secretary.

3 “(c) ELIGIBILITY.—Eligibility shall be limited to an
4 importer offering food for importation from a facility that
5 has a certification described in section 809(b). In review-
6 ing the applications and making determinations on such
7 requests, the Secretary shall consider the risk of the food
8 to be imported based on factors, such as the following:

9 “(1) The nature of the food to be imported.

10 “(2) The compliance history of the foreign sup-
11 plier.

12 “(3) The capability of the regulatory system of
13 the country of export to ensure compliance with
14 United States food safety standards.

15 “(4) The compliance of the importer with the
16 requirements of section 805.

17 “(5) The recordkeeping, testing, inspections
18 and audits of facilities, traceability of articles of
19 food, temperature controls, and sourcing practices of
20 the importer.

21 “(6) The potential risk for intentional adultera-
22 tion of the food.

23 “(7) Any other factor that the Secretary deter-
24 mines appropriate.

1 “(d) REVIEW AND REVOCATION.—Any importer
2 qualified by the Secretary in accordance with the eligibility
3 criteria set forth in this section shall be reevaluated not
4 less often than once every 3 years and the Secretary shall
5 promptly revoke the qualified importer status of any im-
6 porter found not to be in compliance with such criteria.

7 “(e) NOTICE OF INTENT TO PARTICIPATE.—An im-
8 porter that intends to participate in the program under
9 this section in a fiscal year shall submit a notice to the
10 Secretary of such intent at time and in a manner estab-
11 lished by the Secretary.

12 “(f) FALSE STATEMENTS.—Any statement or rep-
13 resentation made by an importer to the Secretary shall
14 be subject to section 1001 of title 18, United States Code.

15 “(g) DEFINITION.—For purposes of this section, the
16 term ‘importer’ means the person that brings food, or
17 causes food to be brought, from a foreign country into the
18 customs territory of the United States.”.

19 **SEC. 303. AUTHORITY TO REQUIRE IMPORT CERTIFI-**
20 **CATIONS FOR FOOD.**

21 (a) IN GENERAL.—Section 801(a) (21 U.S.C.
22 381(a)) is amended by inserting after the third sentence
23 the following: “With respect to an article of food, if impor-
24 tation of such food is subject to, but not compliant with,
25 the requirement under subsection (q) that such food be

1 accompanied by a certification or other assurance that the
2 food meets some or all applicable requirements of this Act,
3 then such article shall be refused admission.”.

4 (b) ADDITION OF CERTIFICATION REQUIREMENT.—
5 Section 801 (21 U.S.C. 381) is amended by adding at the
6 end the following new subsection:

7 “(q) CERTIFICATIONS CONCERNING IMPORTED
8 FOODS.—

9 “(1) IN GENERAL.—The Secretary, based on
10 public health considerations, including risks associ-
11 ated with the food or its place of origin, may require
12 as a condition of granting admission to an article of
13 food imported or offered for import into the United
14 States, that an entity specified in paragraph (2) pro-
15 vide a certification or such other assurances as the
16 Secretary determines appropriate that the article of
17 food complies with some or all applicable require-
18 ments of this Act, as specified by the Secretary.
19 Such certification or assurances may be provided in
20 the form of shipment-specific certificates, a listing of
21 certified entities, or in such other form as the Sec-
22 retary may specify. Such certification shall be used
23 for designated food imported from countries with
24 which the Food and Drug Administration has an
25 agreement to establish a certification program.

1 “(2) CERTIFYING ENTITIES.—For purposes of
2 paragraph (1), entities that shall provide the certifi-
3 cation or assurances described in such paragraph
4 are—

5 “(A) an agency or a representative of the
6 government of the country from which the arti-
7 cle of food at issue originated, as designated by
8 such government or the Secretary; or

9 “(B) such other persons or entities accred-
10 ited pursuant to section 809 to provide such
11 certification or assurance.

12 “(3) RENEWAL AND REFUSAL OF CERTIFI-
13 CATIONS.—The Secretary may—

14 “(A) require that any certification or other
15 assurance provided by an entity specified in
16 paragraph (2) be renewed by such entity at
17 such times as the Secretary determines appro-
18 priate; and

19 “(B) refuse to accept any certification or
20 assurance if the Secretary determines that such
21 certification or assurance is not valid or reli-
22 able.

23 “(4) ELECTRONIC SUBMISSION.—The Secretary
24 shall provide for the electronic submission of certifi-
25 cations under this subsection.

1 “(5) FALSE STATEMENTS.—Any statement or
2 representation made by an entity described in para-
3 graph (2) to the Secretary shall be subject to section
4 1001 of title 18, United States Code.”.

5 (c) CONFORMING TECHNICAL AMENDMENT.—Sec-
6 tion 801(b) (21 U.S.C. 381(b)) is amended in the second
7 sentence by striking “with respect to an article included
8 within the provision of the fourth sentence of subsection
9 (a)” and inserting “with respect to an article described
10 in subsection (a) relating to the requirements of sections
11 760 or 761,”.

12 (d) NO LIMIT ON AUTHORITY.—Nothing in the
13 amendments made by this section shall limit the authority
14 of the Secretary to conduct inspections of imported food
15 or to take such other steps as the Secretary deems appro-
16 priate to determine the admissibility of imported food.

17 **SEC. 304. PRIOR NOTICE OF IMPORTED FOOD SHIPMENTS.**

18 (a) IN GENERAL.—Section 801(m)(1) (21 U.S.C.
19 381(m)(1)) is amended by inserting “any country to which
20 the article has been refused entry;” after “the country
21 from which the article is shipped;”.

22 (b) REGULATIONS.—Not later than 120 days after
23 the date of enactment of this Act, the Secretary shall issue
24 an interim final rule amending subpart I of part 1 of title

1 21, Code of Federal Regulations, to implement the amend-
2 ment made by this section.

3 (c) EFFECTIVE DATE.—The amendment made by
4 this section shall take effect 180 days after the date of
5 enactment of this Act.

6 **SEC. 305. REVIEW OF A REGULATORY AUTHORITY OF A**
7 **FOREIGN COUNTRY.**

8 Chapter VIII (21 U.S.C. 381 et seq.), as amended
9 by section 302, is amended by adding at the end the fol-
10 lowing:

11 **“SEC. 807. REVIEW OF A REGULATORY AUTHORITY OF A**
12 **FOREIGN COUNTRY.**

13 “The Secretary may review information from a coun-
14 try outlining the statutes, regulations, standards, and con-
15 trols of such country, and conduct on-site audits in such
16 country to verify the implementation of those statutes,
17 regulations, standards, and controls. Based on such re-
18 view, the Secretary shall determine whether such country
19 can provide reasonable assurances that the food supply of
20 the country meets or exceeds the safety of food manufac-
21 tured, processed, packed, or held in the United States.”.

22 **SEC. 306. BUILDING CAPACITY OF FOREIGN GOVERNMENTS**
23 **WITH RESPECT TO FOOD.**

24 (a) IN GENERAL.—The Secretary shall, not later
25 than 2 years of the date of enactment of this Act, develop

1 a comprehensive plan to expand the technical, scientific,
2 and regulatory capacity of foreign governments, and their
3 respective food industries, from which foods are exported
4 to the United States.

5 (b) CONSULTATION.—In developing the plan under
6 subsection (a), the Secretary shall consult with the Sec-
7 retary of Agriculture, Secretary of State, Secretary of the
8 Treasury, the United States Trade Representative, and
9 the Secretary of Commerce, representatives of the food in-
10 dustry, appropriate foreign government officials, non-
11 governmental organizations that represent the interests of
12 consumers, and other stakeholders.

13 (c) PLAN.—The plan developed under subsection (a)
14 shall include, as appropriate, the following:

15 (1) Recommendations for bilateral and multilat-
16 eral arrangements and agreements, including provi-
17 sions to provide for responsibility of exporting coun-
18 tries to ensure the safety of food.

19 (2) Provisions for secure electronic data shar-
20 ing.

21 (3) Provisions for mutual recognition of inspec-
22 tion reports.

23 (4) Training of foreign governments and food
24 producers on United States requirements for safe
25 food.

1 (5) Recommendations on whether and how to
2 harmonize requirements under the Codex
3 Alimentarius.

4 (6) Provisions for the multilateral acceptance of
5 laboratory methods and detection techniques.

6 **SEC. 307. INSPECTION OF FOREIGN FOOD FACILITIES.**

7 Chapter VIII (21 U.S.C. 381 et seq.), as amended
8 by section 305, is amended by inserting at the end the
9 following:

10 **“SEC. 808. INSPECTION OF FOREIGN FOOD FACILITIES.**

11 “(a) INSPECTION.—The Secretary—

12 “(1) may enter into arrangements and agree-
13 ments with foreign governments to facilitate the in-
14 spection of foreign facilities registered under section
15 415; and

16 “(2) shall direct resources to inspections of for-
17 eign facilities, suppliers, and food types, especially
18 such facilities, suppliers, and food types that present
19 a high risk (as identified by the Secretary), to help
20 ensure the safety and security of the food supply of
21 the United States.

22 “(b) EFFECT OF INABILITY TO INSPECT.—Notwith-
23 standing any other provision of law, food shall be refused
24 admission into the United States if it is from a foreign
25 facility registered under section 415 of which the owner,

1 operator, or agent in charge of the facility, or the govern-
2 ment of the foreign country, refuses to permit entry of
3 United States inspectors, upon request, to inspect such fa-
4 cility. For purposes of this subsection, such an owner, op-
5 erator, or agent in charge shall be considered to have re-
6 fused an inspection if such owner, operator, or agent in
7 charge refuses such a request to inspect a facility more
8 than 2 business days after such request is submitted.”.

9 **SEC. 308. ACCREDITATION OF THIRD-PARTY AUDITORS**
10 **AND AUDIT AGENTS.**

11 Chapter VIII (21 U.S.C. 381 et seq.), as amended
12 by section 307, is amended by adding at the end the fol-
13 lowing:

14 **“SEC. 809. ACCREDITATION OF THIRD-PARTY AUDITORS**
15 **AND AUDIT AGENTS.**

16 “(a) DEFINITIONS.—In this section:

17 “(1) ACCREDITED AUDIT AGENT.—The term
18 ‘accredited audit agent’ means an audit agent ac-
19 credited by an accreditation body under this section.

20 “(2) AUDIT AGENT.—The term ‘audit agent’
21 means an individual who is qualified to conduct food
22 safety audits, and who may be an employee or an
23 agent of a third-party auditor.

24 “(3) ACCREDITATION BODY.—The term ‘ac-
25 creditation body’ means a recognized authority that

1 performs accreditation of third-party auditors and
2 audit agents.

3 “(4) ACCREDITED THIRD-PARTY AUDITOR.—
4 The term ‘accredited third-party auditor’ means a
5 third-party auditor accredited by an accreditation
6 body under this section.

7 “(5) CONSULTATIVE AUDIT.—The term ‘con-
8 sultative audit’ means an audit of an eligible enti-
9 ty—

10 “(A) to determine whether such entity is in
11 compliance with the provisions of this Act and
12 with applicable industry standards and prac-
13 tices; and

14 “(B) the results of which are for internal
15 facility purposes only.

16 “(6) ELIGIBLE ENTITY.—The term ‘eligible en-
17 tity’ means a foreign entity, including a foreign fa-
18 cility registered under section 415, in the food im-
19 port supply chain that chooses to be audited by an
20 accredited third-party auditor or audit agent.

21 “(7) REGULATORY AUDIT.—The term ‘regu-
22 latory audit’ means an audit of an eligible entity—

23 “(A) to determine whether such entity is in
24 compliance with the provisions of this Act; and

25 “(B) the results of which determine—

1 “(ii) DIRECT ACCREDITATION.—If, by
2 the date that is 1 year after the date of es-
3 tablishment of the system described in
4 clause (i), the Secretary has not identified
5 and recognized an accreditation body to
6 meet the requirements of this section, the
7 Secretary may directly accredit third-party
8 auditors and audit agents.

9 “(B) NOTIFICATION.—Each accreditation
10 body recognized by the Secretary shall submit
11 to the Secretary a list of all accredited third-
12 party auditors and audit agents accredited by
13 such body.

14 “(C) REVOCATION OF RECOGNITION AS AN
15 ACCREDITATION BODY.—The Secretary shall
16 promptly revoke the recognition of any accredi-
17 tation body found not to be in compliance with
18 the requirements of this section.

19 “(2) MODEL ACCREDITATION STANDARDS.—
20 The Secretary shall develop model standards, includ-
21 ing audit report requirements, and each recognized
22 accreditation body shall ensure that third-party
23 auditors and audit agents meet such standards in
24 order to qualify as an accredited third-party auditor
25 or audit agent under this section. In developing the

1 model standards, the Secretary shall look to stand-
2 ards in place on the date of the enactment of this
3 section for guidance, to avoid unnecessary duplica-
4 tion of efforts and costs.

5 “(c) THIRD-PARTY AUDITORS AND AUDIT AGEN-
6 CIES.—

7 “(1) REQUIREMENTS FOR ACCREDITATION AS A
8 THIRD-PARTY AUDITOR OR AUDIT AGENT.—

9 “(A) FOREIGN GOVERNMENTS.—Prior to
10 accrediting a foreign government as an accred-
11 ited third-party auditor, the accreditation body
12 (or, in the case of direct accreditation under
13 subsection (b)(1)(A)(ii), the Secretary) shall
14 perform such reviews and audits of food safety
15 programs, systems, and standards of the gov-
16 ernment as the Secretary deems necessary to
17 determine that the foreign government is capa-
18 ble of adequately ensuring that eligible entities
19 certified by such government meet the require-
20 ments of this Act with respect to food manufac-
21 tured, processed, packed, or held for import
22 into the United States.

23 “(B) FOREIGN COOPERATIVES AND OTHER
24 THIRD PARTIES.—Prior to accrediting a foreign
25 cooperative that aggregates the products of

1 growers or processors, or any other third party
2 that the Secretary determines appropriate to be
3 an accredited third-party auditor or audit
4 agent, the accreditation body (or, in the case of
5 direct accreditation under subsection
6 (b)(1)(A)(ii), the Secretary) shall perform such
7 reviews and audits of the training and qualifica-
8 tions of auditors used by that cooperative or
9 party and conduct such reviews of internal sys-
10 tems and such other investigation of the cooper-
11 ative or party as the Secretary deems necessary
12 to determine that each eligible entity certified
13 by the cooperative or party has systems and
14 standards in use to ensure that such entity
15 meets the requirements of this Act.

16 “(2) REQUIREMENT TO ISSUE CERTIFICATION
17 OF ELIGIBLE ENTITIES.—

18 “(A) IN GENERAL.—An accreditation body
19 (or, in the case of direct accreditation under
20 subsection (b)(1)(A)(ii), the Secretary) may not
21 accredit a third-party auditor or audit agent
22 unless such third-party auditor or audit agent
23 agrees to issue a written and electronic certifi-
24 cation to accompany each food shipment for im-
25 port into the United States from an eligible en-

1 tity certified by the third-party auditor or audit
2 agent, subject to requirements set forth by the
3 Secretary. Such written certification may be in-
4 cluded with other documentation regarding such
5 food shipment. The Secretary shall consider
6 such certificates when targeting inspection re-
7 sources under section 421.

8 “(B) PURPOSE OF CERTIFICATION.—The
9 Secretary shall use evidence of certification pro-
10 vided by accredited third-party auditors and
11 audit agents to—

12 “(i) determine the eligibility of an im-
13 porter to receive a certification under sec-
14 tion 801(q); and

15 “(ii) determine the eligibility of an im-
16 porter to participate in the voluntary quali-
17 fied importer program under section 806.

18 “(3) AUDIT REPORT REQUIREMENTS.—

19 “(A) REQUIREMENTS IN GENERAL.—As a
20 condition of accreditation, an accredited third-
21 party auditor or audit agent shall prepare the
22 audit report for an audit, in a form and manner
23 designated by the Secretary, which shall in-
24 clude—

1 “(i) the identity of the persons at the
2 audited eligible entity responsible for com-
3 pliance with food safety requirements;

4 “(ii) the dates of the audit;

5 “(iii) the scope of the audit; and

6 “(iv) any other information required
7 by the Secretary that relate to or may in-
8 fluence an assessment of compliance with
9 this Act.

10 “(B) SUBMISSION OF REPORTS TO THE
11 SECRETARY.—

12 “(i) IN GENERAL.—Following any ac-
13 creditation of a third-party auditor or
14 audit agent, the Secretary may, at any
15 time, require the accredited third-party
16 auditor or audit agent to submit to the
17 Secretary an onsite audit report and such
18 other reports or documents required as
19 part of the audit process, for any eligible
20 entity certified by the third-party auditor
21 or audit agent. Such report may include
22 documentation that the eligible entity is in
23 compliance with any applicable registration
24 requirements.

1 “(ii) LIMITATION.—The requirement
2 under clause (i) shall not include any re-
3 port or other documents resulting from a
4 consultative audit by the accredited third-
5 party auditor or audit agent, except that
6 the Secretary may access the results of a
7 consultative audit in accordance with sec-
8 tion 414.

9 “(4) REQUIREMENTS OF AUDIT AGENTS.—

10 “(A) RISKS TO PUBLIC HEALTH.—If, at
11 any time during an audit, an accredited audit
12 agent discovers a condition that could cause or
13 contribute to a serious risk to the public health,
14 the audit agent shall immediately notify the
15 Secretary of—

16 “(i) the identification of the eligible
17 entity subject to the audit; and

18 “(ii) such condition.

19 “(B) TYPES OF AUDITS.—An accredited
20 audit agent may perform consultative and regu-
21 latory audits of eligible entities.

22 “(C) LIMITATIONS.—An accredited audit
23 agent may not perform a regulatory audit of an
24 eligible entity if such agent has performed a
25 consultative audit or a regulatory audit of such

1 eligible entity during the previous 24-month pe-
2 riod.

3 “(5) CONFLICTS OF INTEREST.—

4 “(A) THIRD-PARTY AUDITORS.—An ac-
5 credited third-party auditor shall—

6 “(i) not be owned, managed, or con-
7 trolled by any person that owns or operates
8 an eligible entity to be certified by such
9 auditor;

10 “(ii) in carrying out audits of eligible
11 entities under this section, have procedures
12 to ensure against the use of any officer or
13 employee of such auditor that has a finan-
14 cial conflict of interest regarding an eligi-
15 ble entity to be certified by such auditor;
16 and

17 “(iii) annually make available to the
18 Secretary disclosures of the extent to
19 which such auditor and the officers and
20 employees of such auditor have maintained
21 compliance with clauses (i) and (ii) relat-
22 ing to financial conflicts of interest.

23 “(B) AUDIT AGENTS.—An accredited audit
24 agent shall—

1 “(ii) a structure to decrease the po-
2 tential for conflicts of interest, including
3 timing and public disclosure, for fees paid
4 by eligible entities to accredited third-party
5 auditors or audit agents; and

6 “(iii) appropriate limits on financial
7 affiliations between an accredited third-
8 party auditor or audit agent and any per-
9 son that owns or operates an eligible entity
10 to be certified by such auditor or audit
11 agent.

12 “(6) WITHDRAWAL OF ACCREDITATION.—The
13 Secretary shall withdraw accreditation from an ac-
14 credited third-party auditor or audit agent—

15 “(A) if food from an eligible entity cer-
16 tified by such third-party auditor or audit agent
17 is linked to an outbreak of human or animal ill-
18 ness;

19 “(B) following a performance audit and
20 finding by the Secretary that the third-party
21 auditor or audit agent no longer meets the re-
22 quirements for accreditation; or

23 “(C) following a refusal to allow United
24 States officials to conduct such audits and in-
25 vestigations as may be necessary to ensure con-

1 tinued compliance with the requirements set
2 forth in this section.

3 “(7) NEUTRALIZING COSTS.—The Secretary
4 shall establish a method, similar to the method used
5 by the Department of Agriculture, by which accredited
6 third-party auditors and audit agents reimburse
7 the Food and Drug Administration for the work per-
8 formed to establish and administer the accreditation
9 system under this section. The Secretary shall make
10 operating this program revenue-neutral and shall not
11 generate surplus revenue from such a reimburse-
12 ment mechanism.

13 “(d) RECERTIFICATION OF ELIGIBLE ENTITIES.—An
14 eligible entity shall apply for annual recertification by an
15 accredited third-party auditor or audit agent if such enti-
16 ty—

17 “(1) intends to participate in voluntary quali-
18 fied importer program under section 806; or

19 “(2) must provide to the Secretary a certifi-
20 cation under section 801(q) for any food from such
21 entity.

22 “(e) FALSE STATEMENTS.—Any statement or rep-
23 resentation made—

1 “(1) by an employee or agent of an eligible enti-
2 ty to an accredited third-party auditor or audit
3 agent; or

4 “(2) by an accredited third-party auditor or an
5 audit agent to the Secretary,

6 shall be subject to section 1001 of title 18, United States
7 Code.

8 “(f) MONITORING.—To ensure compliance with the
9 requirements of this section, the Secretary shall—

10 “(1) periodically, or at least once every 4 years,
11 reevaluate the accreditation bodies described in sub-
12 section (b)(1);

13 “(2) periodically, or at least once every 4 years,
14 audit the performance of each accredited third-party
15 auditor and audit agent, through the review of audit
16 reports by such auditors and audit agents, the com-
17 pliance history as available of eligible entities cer-
18 tified by such auditors and audit agents, and any
19 other measures deemed necessary by the Secretary;

20 “(3) at any time, conduct an onsite audit of
21 any eligible entity certified by an accredited third-
22 party auditor or audit agent, with or without the
23 auditor or audit agent present; and

24 “(4) take any other measures deemed necessary
25 by the Secretary.

1 “(g) PUBLICLY AVAILABLE REGISTRY.—The Sec-
2 retary shall establish a publicly available registry of ac-
3 creditation bodies and of accredited third-party auditors
4 and audit agents, including the name of, contact informa-
5 tion for, and other information deemed necessary by the
6 Secretary about such bodies, auditors, and agents.

7 “(h) LIMITATIONS.—

8 “(1) NO EFFECT ON SECTION 704 INSPEC-
9 TIONS.—The audits performed under this section
10 shall not be considered inspections under section
11 704.

12 “(2) NO EFFECT ON INSPECTION AUTHOR-
13 ITY.—Nothing in this section affects the authority of
14 the Secretary to inspect any eligible entity pursuant
15 to this Act.”.

16 **SEC. 309. FOREIGN OFFICES OF THE FOOD AND DRUG AD-**
17 **MINISTRATION.**

18 (a) IN GENERAL.—The Secretary shall establish of-
19 fices of the Food and Drug Administration in foreign
20 countries selected by the Secretary, to provide assistance
21 to the appropriate governmental entities of such countries
22 with respect to measures to provide for the safety of arti-
23 cles of food and other products regulated by the Food and
24 Drug Administration exported by such country to the
25 United States, including by directly conducting risk-based

1 inspections of such articles and supporting such inspec-
2 tions by such governmental entity.

3 (b) CONSULTATION.—In establishing the foreign of-
4 fices described in subsection (a), the Secretary shall con-
5 sult with the Secretary of State and the United States
6 Trade Representative.

7 (c) REPORT.—Not later than October 1, 2011, the
8 Secretary shall submit to Congress a report on the basis
9 for the selection by the Secretary of the foreign countries
10 in which the Secretary established offices, the progress
11 which such offices have made with respect to assisting the
12 governments of such countries in providing for the safety
13 of articles of food and other products regulated by the
14 Food and Drug Administration exported to the United
15 States, and the plans of the Secretary for establishing ad-
16 ditional foreign offices of the Food and Drug Administra-
17 tion, as appropriate.

18 **SEC. 310. SMUGGLED FOOD.**

19 (a) IN GENERAL.—Not later than 180 days after the
20 enactment of this Act, the Secretary shall, in consultation
21 with the Secretary of Homeland Security, the Commis-
22 sioner of Customs and Border Patrol, and the Assistant
23 Secretary for Immigration and Customs Enforcement, de-
24 velop and implement a strategy to better identify smug-

1 gled food and prevent entry of such food into the United
2 States.

3 (b) NOTIFICATION TO HOMELAND SECURITY.—Not
4 later than 10 days after the Secretary identifies a smug-
5 gled food that the Secretary believes would cause serious
6 adverse health consequences or death to humans or ani-
7 mals, the Secretary shall provide to the Secretary of
8 Homeland Security a notification under section 417(k) of
9 the Federal Food, Drug, and Cosmetic Act (21 U.S.C.
10 350f(k)) describing the smuggled food and, if available,
11 the names of the individuals or entities that attempted to
12 import such food into the United States.

13 (c) PUBLIC NOTIFICATION.—If the Secretary—

14 (1) identifies a smuggled food;

15 (2) reasonably believes exposure to the food
16 would cause serious adverse health consequences or
17 death to humans or animals; and

18 (3) reasonably believes that the food has en-
19 tered domestic commerce and is likely to be con-
20 sumed,

21 the Secretary shall promptly issue a press release describ-
22 ing that food and shall use other emergency communica-
23 tion or recall networks, as appropriate, to warn consumers
24 and vendors about the potential threat.

1 (d) DEFINITION.—In this subsection, the term
2 “smuggled food” means any food that a person introduces
3 into the United States through fraudulent means or with
4 the intent to defraud or mislead.

5 **TITLE IV—MISCELLANEOUS**
6 **PROVISIONS**

7 **SEC. 401. FUNDING FOR FOOD SAFETY.**

8 (a) IN GENERAL.—There are authorized to be appro-
9 priated to carry out the activities of the Center for Food
10 Safety and Applied Nutrition, the Center for Veterinary
11 Medicine, and related field activities in the Office of Regu-
12 latory Affairs of the Food and Drug Administration—

13 (1) \$825,000,000 for fiscal year 2010; and

14 (2) such sums as may be necessary for fiscal
15 years 2011 through 2014.

16 (b) INCREASED NUMBER OF FIELD STAFF.—

17 (1) IN GENERAL.—To carry out the activities of
18 the Center for Food Safety and Applied Nutrition,
19 the Center for Veterinary Medicine, and related field
20 activities of the Office of Regulatory Affairs of the
21 Food and Drug Administration, the Secretary of
22 Health and Human Services shall increase the field
23 staff of such Centers and Office with a goal of not
24 fewer than—

1 (A) 3,800 staff members in fiscal year
2 2010;

3 (B) 4,000 staff members in fiscal year
4 2011;

5 (C) 4,200 staff members in fiscal year
6 2012;

7 (D) 4,600 staff members in fiscal year
8 2013; and

9 (E) 5,000 staff members in fiscal year
10 2014.

11 (2) FIELD STAFF FOR FOOD DEFENSE.—The
12 goal under paragraph (1) shall include an increase
13 of 150 employees by fiscal year 2011 to—

14 (A) provide additional detection of and re-
15 sponse to food defense threats; and

16 (B) detect, track, and remove smuggled
17 food (as defined in section 310) from com-
18 merce.

19 **SEC. 402. WHISTLEBLOWER PROTECTIONS.**

20 Chapter X of the Federal Food, Drug, and Cosmetic
21 Act (21 U.S.C. 391 et seq.), as amended by section 210,
22 is further amended by adding at the end the following:

23 **“SEC. 1012. WHISTLEBLOWER PROTECTIONS.**

24 “(a) IN GENERAL.—No entity engaged in the manu-
25 facture, processing, packing, transporting, distribution, re-

1 ception, holding, or importation of food may discharge an
2 employee or otherwise discriminate against an employee
3 with respect to compensation, terms, conditions, or privi-
4 leges of employment because the employee, whether at the
5 employee's initiative or in the ordinary course of the em-
6 ployee's duties (or any person acting pursuant to a request
7 of the employee)—

8 “(1) provided, caused to be provided, or is
9 about to provide or cause to be provided to the em-
10 ployer, the Federal Government, or the attorney
11 general of a State information relating to any viola-
12 tion of, or any act or omission the employee reason-
13 ably believes to be a violation of any provision of this
14 Act or any order, rule, regulation, standard, or ban
15 under this Act, or any order, rule, regulation, stand-
16 ard, or ban under this Act;

17 “(2) testified or is about to testify in a pro-
18 ceeding concerning such violation;

19 “(3) assisted or participated or is about to as-
20 sist or participate in such a proceeding; or

21 “(4) objected to, or refused to participate in,
22 any activity, policy, practice, or assigned task that
23 the employee (or other such person) reasonably be-
24 lieved to be in violation of any provision of this Act,

1 or any order, rule, regulation, standard, or ban
2 under this Act.

3 “(b) PROCESS.—

4 “(1) IN GENERAL.—A person who believes that
5 he or she has been discharged or otherwise discrimi-
6 nated against by any person in violation of sub-
7 section (a) may, not later than 180 days after the
8 date on which such violation occurs, file (or have any
9 person file on his or her behalf) a complaint with the
10 Secretary of Labor (referred to in this section as the
11 ‘Secretary’) alleging such discharge or discrimina-
12 tion and identifying the person responsible for such
13 act. Upon receipt of such a complaint, the Secretary
14 shall notify, in writing, the person named in the
15 complaint of the filing of the complaint, of the alle-
16 gations contained in the complaint, of the substance
17 of evidence supporting the complaint, and of the op-
18 portunities that will be afforded to such person
19 under paragraph (2).

20 “(2) INVESTIGATION.—

21 “(A) IN GENERAL.—Not later than 60
22 days after the date of receipt of a complaint
23 filed under paragraph (1) and after affording
24 the complainant and the person named in the
25 complaint an opportunity to submit to the Sec-

1 retary a written response to the complaint and
2 an opportunity to meet with a representative of
3 the Secretary to present statements from wit-
4 nesses, the Secretary shall initiate an investiga-
5 tion and determine whether there is reasonable
6 cause to believe that the complaint has merit
7 and notify, in writing, the complainant and the
8 person alleged to have committed a violation of
9 subsection (a) of the Secretary's findings.

10 “(B) REASONABLE CAUSE FOUND; PRE-
11 LIMINARY ORDER.—If the Secretary concludes
12 that there is reasonable cause to believe that a
13 violation of subsection (a) has occurred, the
14 Secretary shall accompany the Secretary's find-
15 ings with a preliminary order providing the re-
16 lief prescribed by paragraph (3)(B). Not later
17 than 30 days after the date of notification of
18 findings under this paragraph, the person al-
19 leged to have committed the violation or the
20 complainant may file objections to the findings
21 or preliminary order, or both, and request a
22 hearing on the record. The filing of such objec-
23 tions shall not operate to stay any reinstatement
24 remedy contained in the preliminary
25 order. Any such hearing shall be conducted ex-

1 peditiously. If a hearing is not requested in
2 such 30-day period, the preliminary order shall
3 be deemed a final order that is not subject to
4 judicial review.

5 “(C) DISMISSAL OF COMPLAINT.—

6 “(i) STANDARD FOR COMPLAINANT.—

7 The Secretary shall dismiss a complaint
8 filed under this subsection and shall not
9 conduct an investigation otherwise required
10 under subparagraph (A) unless the com-
11 plainant makes a prima facie showing that
12 any behavior described in paragraphs (1)
13 through (4) of subsection (a) was a con-
14 tributing factor in the unfavorable per-
15 sonnel action alleged in the complaint.

16 “(ii) STANDARD FOR EMPLOYER.—

17 Notwithstanding a finding by the Secretary
18 that the complainant has made the show-
19 ing required under clause (i), no investiga-
20 tion otherwise required under subpara-
21 graph (A) shall be conducted if the em-
22 ployer demonstrates, by clear and con-
23 vincing evidence, that the employer would
24 have taken the same unfavorable personnel
25 action in the absence of that behavior.

1 “(iii) VIOLATION STANDARD.—The
2 Secretary may determine that a violation
3 of subsection (a) has occurred only if the
4 complainant demonstrates that any behav-
5 ior described in paragraphs (1) through
6 (4) of subsection (a) was a contributing
7 factor in the unfavorable personnel action
8 alleged in the complaint.

9 “(iv) RELIEF STANDARD.—Relief may
10 not be ordered under subparagraph (A) if
11 the employer demonstrates by clear and
12 convincing evidence that the employer
13 would have taken the same unfavorable
14 personnel action in the absence of that be-
15 havior.

16 “(3) FINAL ORDER.—

17 “(A) IN GENERAL.—Not later than 120
18 days after the date of conclusion of any hearing
19 under paragraph (2), the Secretary shall issue
20 a final order providing the relief prescribed by
21 this paragraph or denying the complaint. At
22 any time before issuance of a final order, a pro-
23 ceeding under this subsection may be termi-
24 nated on the basis of a settlement agreement
25 entered into by the Secretary, the complainant,

1 and the person alleged to have committed the
2 violation.

3 “(B) CONTENT OF ORDER.—If, in re-
4 sponse to a complaint filed under paragraph
5 (1), the Secretary determines that a violation of
6 subsection (a) has occurred, the Secretary shall
7 order the person who committed such viola-
8 tion—

9 “(i) to take affirmative action to
10 abate the violation;

11 “(ii) to reinstate the complainant to
12 his or her former position together with
13 compensation (including back pay) and re-
14 store the terms, conditions, and privileges
15 associated with his or her employment; and

16 “(iii) to provide compensatory dam-
17 ages to the complainant.

18 “(C) PENALTY.—If such an order is issued
19 under this paragraph, the Secretary, at the re-
20 quest of the complainant, shall assess against
21 the person against whom the order is issued a
22 sum equal to the aggregate amount of all costs
23 and expenses (including attorneys’ and expert
24 witness fees) reasonably incurred, as deter-
25 mined by the Secretary, by the complainant for,

1 or in connection with, the bringing of the com-
2 plaint upon which the order was issued.

3 “(D) BAD FAITH CLAIM.—If the Secretary
4 finds that a complaint under paragraph (1) is
5 frivolous or has been brought in bad faith, the
6 Secretary may award to the prevailing employer
7 a reasonable attorneys’ fee, not exceeding
8 \$1,000, to be paid by the complainant.

9 “(4) ACTION IN COURT.—

10 “(A) IN GENERAL.—If the Secretary has
11 not issued a final decision within 210 days after
12 the filing of the complaint, or within 90 days
13 after receiving a written determination, the
14 complainant may bring an action at law or eq-
15 uity for de novo review in the appropriate dis-
16 trict court of the United States with jurisdic-
17 tion, which shall have jurisdiction over such an
18 action without regard to the amount in con-
19 troversy, and which action shall, at the request
20 of either party to such action, be tried by the
21 court with a jury. The proceedings shall be gov-
22 erned by the same legal burdens of proof speci-
23 fied in paragraph (2)(C).

24 “(B) RELIEF.—The court shall have juris-
25 diction to grant all relief necessary to make the

1 employee whole, including injunctive relief and
2 compensatory damages, including—

3 “(i) reinstatement with the same se-
4 niority status that the employee would
5 have had, but for the discharge or dis-
6 crimination;

7 “(ii) the amount of back pay, with in-
8 terest; and

9 “(iii) compensation for any special
10 damages sustained as a result of the dis-
11 charge or discrimination, including litiga-
12 tion costs, expert witness fees, and reason-
13 able attorney’s fees.

14 “(5) REVIEW.—

15 “(A) IN GENERAL.—Unless the complain-
16 ant brings an action under paragraph (4), any
17 person adversely affected or aggrieved by a final
18 order issued under paragraph (3) may obtain
19 review of the order in the United States Court
20 of Appeals for the circuit in which the violation,
21 with respect to which the order was issued, al-
22 legedly occurred or the circuit in which the
23 complainant resided on the date of such viola-
24 tion. The petition for review must be filed not
25 later than 60 days after the date of the

1 issuance of the final order of the Secretary. Re-
2 view shall conform to chapter 7 of title 5,
3 United States Code. The commencement of pro-
4 ceedings under this subparagraph shall not, un-
5 less ordered by the court, operate as a stay of
6 the order.

7 “(B) NO JUDICIAL REVIEW.—An order of
8 the Secretary with respect to which review could
9 have been obtained under subparagraph (A)
10 shall not be subject to judicial review in any
11 criminal or other civil proceeding.

12 “(6) FAILURE TO COMPLY WITH ORDER.—
13 Whenever any person has failed to comply with an
14 order issued under paragraph (3), the Secretary may
15 file a civil action in the United States district court
16 for the district in which the violation was found to
17 occur, or in the United States district court for the
18 District of Columbia, to enforce such order. In ac-
19 tions brought under this paragraph, the district
20 courts shall have jurisdiction to grant all appropriate
21 relief including, but not limited to, injunctive relief
22 and compensatory damages.

23 “(7) CIVIL ACTION TO REQUIRE COMPLI-
24 ANCE.—

1 “(A) IN GENERAL.—A person on whose be-
2 half an order was issued under paragraph (3)
3 may commence a civil action against the person
4 to whom such order was issued to require com-
5 pliance with such order. The appropriate
6 United States district court shall have jurisdic-
7 tion, without regard to the amount in con-
8 troversy or the citizenship of the parties, to en-
9 force such order.

10 “(B) AWARD.—The court, in issuing any
11 final order under this paragraph, may award
12 costs of litigation (including reasonable attor-
13 neys’ and expert witness fees) to any party
14 whenever the court determines such award is
15 appropriate.

16 “(c) EFFECT OF SECTION.—

17 “(1) OTHER LAWS.—Nothing in this section
18 preempts or diminishes any other safeguards against
19 discrimination, demotion, discharge, suspension,
20 threats, harassment, reprimand, retaliation, or any
21 other manner of discrimination provided by Federal
22 or State law.

23 “(2) RIGHTS OF EMPLOYEES.—Nothing in this
24 section shall be construed to diminish the rights,
25 privileges, or remedies of any employee under any

1 Federal or State law or under any collective bar-
2 gaining agreement. The rights and remedies in this
3 section may not be waived by any agreement, policy,
4 form, or condition of employment.

5 “(d) ENFORCEMENT.—Any nondiscretionary duty
6 imposed by this section shall be enforceable in a man-
7 damus proceeding brought under section 1361 of title 28,
8 United States Code.

9 “(e) LIMITATION.—Subsection (a) shall not apply
10 with respect to an employee of an entity engaged in the
11 manufacture, processing, packing, transporting, distribu-
12 tion, reception, holding, or importation of food who, acting
13 without direction from such entity (or such entity’s agent),
14 deliberately causes a violation of any requirement relating
15 to any violation or alleged violation of any order, rule, reg-
16 ulation, standard, or ban under this Act.”.

17 **SEC. 403. JURISDICTION; AUTHORITIES.**

18 Nothing in this Act, or an amendment made by this
19 Act, shall be construed to—

20 (1) alter the jurisdiction between the Secretary
21 of Agriculture and the Secretary of Health and
22 Human Services, under applicable statutes, regula-
23 tions, or agreements regarding products eligible for
24 voluntary inspection under the Agricultural Mar-
25 keting Act (7 U.S.C. 1621 et seq.);

1 (2) alter the jurisdiction between the Adminis-
2 tration of the Alcohol and Tobacco Tax and Trade
3 Bureau and the Secretary of Health and Human
4 Services, under applicable statutes and regulations;

5 (3) limit the authority of the Secretary of
6 Health and Human Services to issue regulations re-
7 lated to the safety of food under—

8 (A) the Federal Food, Drug, and Cosmetic
9 Act (21 U.S.C. 301 et seq.) as in effect on the
10 day before the date of enactment of this Act; or

11 (B) the Public Health Service Act (42
12 U.S.C. 301 et seq.) as in effect on the day be-
13 fore the date of enactment of this Act; or

14 (4) impede, minimize, or affect the authority of
15 the Secretary of Agriculture to prevent, control, or
16 mitigate a plant or animal health emergency, or a
17 food emergency or foodborne illness outbreak involv-
18 ing products regulated under the Federal Meat In-
19 spection Act, the Poultry Products Inspection Act,
20 the Egg Products Inspection Act, or agreements re-
21 garding voluntary inspection under the Agricultural
22 Marketing Act (7 U.S.C. 1621 et seq.).

1 **SEC. 404. COMPLIANCE WITH INTERNATIONAL AGREE-**
2 **MENTS.**

3 Nothing in this Act (or an amendment made by this
4 Act) shall be construed in a manner inconsistent with the
5 agreement establishing the World Trade Organization or
6 any other treaty or international agreement to which the
7 United States is a party.